COMMITTEE: Audit & Compliance


DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The Institutional Compliance Status Report summarizes the information provided by each institution for their respective compliance functions.

- Summary of Activities: risk assessment, audits, meetings, risk mitigation, and hot-line reports (page 1)
- University of Houston System: summary of July 13, 2011, meeting of Institutional Compliance Officers and changes in laws and regulations (page 1)
- University of Houston: compliance activities of Finance Department, Environmental Health and Safety Department, Residential Life & Housing, Division of Research, and Information Technology Department (pages 2-6)
- University of Houston Clear Lake: summary of compliance meeting held on August 11, 2011 (pages 6-7)
- University of Houston Downtown: summary of compliance meeting held on September 14, 2011, and Information Technology compliance activities (pages 7-9)
- University of Houston Victoria: summary of compliance meeting held August 31, 2011 (pages 9-10)

SUPPORTING DOCUMENTATION: Institutional Compliance Status Report

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Information

COMPONENT: University of Houston System

CHIEF AUDIT EXECUTIVE Don Guyton 10/27/11

CHANCELLOR Renu Khator 11/16/11

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