UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Audit and Compliance


DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The Institutional Compliance Status Report summarizes the information provided by each institution for their respective compliance functions.

- Summary of Activities: risk assessment, audits, meetings, risk mitigation, and hot-line reports (page 1)
- University of Houston System: summary of April 11, 2012, meeting of Institutional Compliance Officers and changes in laws and regulations (pages 1-2)
- University of Houston: compliance activities of Residential Life & Housing, Contracts Administration, Academic Affairs, Environmental Health and Risk Management, University Information Technology and Division of Research (pages 2-6)
- University of Houston Clear Lake: risk assessment assignments in the areas of financial and Citibank P-card (pages 6-7)
- University of Houston Downtown: summary of compliance meeting held on June 14, 2012, and compliance activities in the areas of Sponsored Programs Office, promoting compliance and ethics and Information Technology (pages 7-9)
- University of Houston Victoria: summary of compliance meeting held April 25, 2012 and compliance activities in the areas of Information Technology, Business Services, Safety & Risk Management and Facilities Services (pages 9-10)

Annual Compliance Plan for FY 2013 (pages 10-11)

SUPPORTING DOCUMENTATION: Institutional Compliance Status Report and Annual Compliance Plan

FISCAL NOTE: None

RECOMMENDATION/ ACTION REQUESTED: Information

COMPONENT: University of Houston System

CHIEF AUDIT EXECUTIVE
Don Guyton

DATE 7/17/12

CHANCELLOR
Renu Khator

DATE 7/20/12

08/15/12
AUDIT – 193