COMMITTEE: Audit and Compliance


DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The Institutional Compliance Status Report summarizes the information provided by each institution for their respective compliance functions.

- Summary of Activities: risk assessment, audits, meetings, risk mitigation, and hot-line reports (page 1)
- University of Houston System: summary of October 19, 2011, meeting of Institutional Compliance Officers and changes in laws and regulations (pages 1-2)
- University of Houston: compliance activities of Finance Department, Environmental Health and Safety Department, Residential Life & Housing, Division of Research, and Information Technology Department (pages 2-6)
- University of Houston Clear Lake: summary of compliance meeting held on October 13, 2011 and December 8, 2011 (pages 6-7)
- University of Houston Downtown: summary of compliance meeting held on December 8, 2011, and Information Technology compliance activities (pages 7-8)
- University of Houston Victoria: summary of compliance meeting held October 26, 2011 (pages 8-9)

SUPPORTING DOCUMENTATION: Institutional Compliance Status Report

FISCAL NOTE: None

RECOMMENDATION/ ACTION REQUESTED: Information

COMPONENT: University of Houston System

CHIEF AUDIT EXECUTIVE: Don Guyton

DATE: 1/25/12

CHANCELLOR: Renu Khator

DATE: 1/31/12

02/15/12

AUDIT – F-91