UNIVERSITY OF HOUSTON SYSTEM
Board of Regents Meeting
12:45 p.m. – 4:00 p.m.
August 17, 2011
AGENDA

UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS MEETING

DATE:       Wednesday, August 17, 2011
TIME:       12:45 p.m.
PLACE:      Hilton University of Houston Hotel
            Waldorf Astoria Ballroom E, Second Floor
            4800 Calhoun
            Houston, Texas 77204

Chair:      Carroll Robertson Ray
Vice Chair: Nelda Luce Blair
Secretary:  Mica Mosbacher

I. Board of Regents Meeting
   A. Call to Order
   B. Open Forum
   C. Approval of Minutes:
      • April 12, 2011, Special Called Board of Regents Meeting
      • May 18, 2011, Board of Regents Meeting

      Action: Approval

II. Committee Reports Listing Consent Docket Items for Board Approval

All action items considered and unanimously approved by the Academic and Student Success Committee, Item A, (August 16, 2011); the Facilities, Construction and Master Planning Committee, Item B, (August 16, 2011); the Audit and Compliance Committee, Item C (August 17, 2011); and the Finance and Administration Committee, Item D, (August 17, 2011) are listed under each Committee Report as Consent Docket items. There were no action items listed under Item E, the Endowment Management Committee held on Tuesday, August 16, 2011, which would require Board action.

Pursuant to Board By-Law 6.9, any Regent may request that an individual item be removed from the Consent Docket and be considered by the Board.

08/17/2011
BOR AGENDA – 1
Item A – Academic and Student Success Committee Report – August 16, 2011

A. Approval of Master of Arts in Industrial/Organizational Psychology Degree at the University of Houston-Clear Lake – University of Houston-Clear Lake
   Action: Approval

B. Approval of Appointment of President for University of Houston-Victoria – University of Houston-Victoria
   Action: Approval

C. Approval of Appointment of Vice Chancellor/Vice President for Student Affairs for the University of Houston System/University of Houston – University of Houston System/University of Houston
   Action: Approval

Item B – Facilities, Construction and Master Planning Committee Report – August 16, 2011

D. Approval of Site and Scope for University of Houston Multi-Modal Garage I – University of Houston
   Action: Approval

E. Approval of Naming of Building No. 9A at the Energy Research Park at the University of Houston to be named ConocoPhillips Petroleum Engineering Building – University of Houston
   Action: Approval

Item C – Audit and Compliance Committee Report – August 17, 2011

F. Approval of UHS Internal Auditing Department Long-Range Internal Audit Plan for Fiscal Years 2012-2014 – University of Houston System
   Action: Approval

Item D – Finance and Administration Committee Report – August 17, 2011

G. Approval is requested for the University of Houston System FY2012 Plan and Budget - University of Houston System
   Action: Pulled from Consent Docket for Board discussion
H. Approval is requested to delegate authority to the Chancellor to F&A-H negotiate and execute contracts up to $80 million (increased from the originally approved $44.2 million project) for design and construction of the University Center Addition and Renovation at the University of Houston – University of Houston

**Action:** Approval

I. Approval is requested to delegate authority to the Chancellor to F&A-I negotiate and execute contracts up to $48.5 million for design and construction of a Sophomore Residence Hall to replace the existing Cougar Place facility at the University of Houston – University of Houston

**Action:** Approval

J. Approval is requested to delegate authority to the Chancellor to F&A-J negotiate and execute contracts up to $11.5 million for design and construction of the University of Houston-Victoria Sophomore Housing Project – University of Houston-Victoria

**Action:** Approval

K. Approval is requested to delegate authority to the Chancellor to F&A-K negotiate and execute contracts up to $20 million for design and construction of Multi-Modal Garage I at the University of Houston – University of Houston

**Action:** Approval

L. Approval of the Twenty-Third Supplemental Resolution, the F&A-L Preliminary Official Statement and the Notices of Sale authorizing the issuance of Board of Regents of the University of Houston System Consolidated Revenue and Refunding Bonds, Series 2011 A and Series 2011 B (Taxable) – University of Houston System

**Action:** Approval

M. Approval to Renew Investment Resolutions for the University of F&A-M Houston System – University of Houston System

**Action:** Approval

N. Approval is requested for the University of Houston System FY2012 F&A-N Holiday Schedule – University of Houston System

**Action:** Approval
O. Approval is requested of a Purchase Order with EBSCO Subscription Services, Inc. – University of Houston

**Action:** Approval

**Item E – Endowment Management Committee Report – August 16, 2011**

[No items were forward from the committee requiring Board action]

**III. Committee Report Item(s) not Addressed in the Consent Docket but require Final Board Approval**

The following item was presented at the Finance and Administration Committee earlier today, August 17, 2011, and was approved by the committee, but is being presented to the full Board for consideration and final Board approval.

G. Approval is requested for the University of Houston System FY2012 Plan and Budget - University of Houston System

**Action:** Approval

**IV. Board of Regents Items**

A. Resolution in Appreciation – Carroll Robertson Ray – University of Houston System

**Action:** Approval

B. Resolution in Appreciation – Welcome W. Wilson, Sr. – University of Houston System

**Action:** Approval

C. Resolution in Appreciation – Jim P. Wise – University of Houston System

**Action:** Approval

D. Approval of the Current Board of Regents Planner for FY2012 – University of Houston System

**Action:** Approval

E. Proposed Calendar of Dates for the FY2011-FY2012 UH System Board of Regents Committee/Board Meetings – University of Houston System

**Action:** Approval

F. Presentation regarding the Faculty’s Perspective on the Seven Breakthrough Solutions – University of Houston

08/17/2011

BOR AGENDA – 4
**Action:** Information

G. Presentation on HUB Expenditures at the University of Houston – University of Houston

**Action:** Information

H. Approval is requested of the proposed revisions to BOR policies: 11.01; 21.01; 23.05; 53.01; 53.03; 55.01; 55.02; and 55.05; and changes to BOR bylaws 4.2 – University of Houston System

**Action:** Approval

I. Election of Board Officers for FY2011-FY2012 – University of Houston System

**Action:** Approval

V. Chancellor’s Report: System Profile and Accomplishments

VI. Chair’s Report: Board Initiatives

VII. Executive Session

A. Section 551.071 – Consultation with System Attorneys

B. Section 551.072 – Deliberations Concerning Purchase, Lease or Value of Real Property

C. Section 551.073 – Deliberations about Negotiated Contracts for Prospective Gifts or Donations

D. Section 551.074 – Personnel Matters, Including Appointment, Evaluation or Dismissal of Personnel

VIII. Report and Action from Executive Session

IX. Adjourn
UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

ACADEMIC AND STUDENT SUCCESS
COMMITTEE ITEMS

FOR

CONSENT DOCKET APPROVAL

AUGUST 17, 2011
The objectives of the Master of Arts in Industrial/Organizational (I/O) Psychology in the School of Human Sciences and Humanities (HSH) at the University of Houston-Clear Lake (UHCL) are to offer a two-year terminal degree that prepares students to contribute to an organization's success by improving the performance and well-being of its employees. The program will provide students with a balance of the industrial and organizational areas of I/O psychology. Students will learn about individual differences, their assessment and evaluation as well as organizational theories and interventions. The program follows the scientist/practitioner model, providing students with knowledge of psychological theories and principles and how those principles apply to solving human behavior problems in organizations. This will prepare students to enter organizations in both the public and private sector in human resource management, organizational development, and management consulting. The program will also serve to prepare students interested in continuing their education at the doctoral level.

SUPPORTING DOCUMENTATION: Program Description, Financial Pro Forma, and Planning Authority – Degree Proposal Approval Process

FISCAL NOTE: See Financial Pro Forma

RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston-Clear Lake

08/17/2011
Consent Docket – A&SS A-1
Master of Arts, Industrial/Organizational Psychology
University of Houston-Clear Lake

Congruence with System Goals and University Mission

The Master of Arts in Industrial/Organizational Psychology addresses the system goals related to access and student success. The proposed program will provide educational access to a unique program in the Houston region that provides a terminal degree option for those seeking a career in business/industry or non-profits that do not require doctoral-level training. This program will help to address talent shortages that exist among regional employers who require psychologists to work in organizational settings who possess both research and applied knowledge.

Program Description

The objectives of the Master of Arts in Industrial/Organizational (I/O) Psychology in the School of Humans Sciences and Humanities (HSH) at UCHL are to offer a two-year terminal degree that prepares students to contribute to an organization’s success by improving the performance and well-being of its employees. The program will provide students with a balance of the industrial and organizational areas of I/O psychology. Students will learn about individual differences, their assessment and evaluation as well as organizational theories and interventions. The program follows the scientist/practitioner model, providing students with knowledge of psychological theories and principles and how those principles apply to solving human behavior problems in organizations. This will prepare students to enter organizations in both the public and private sector in human resource management, organizational development, and management consulting. The program will also serve to prepare students interested in continuing their education at the doctoral level.

Student and Job Market Demand

At present, there are approximately 60 students pursuing the Industrial/Organizational sub-plan within the Master of Arts in Behavioral Sciences. Approximately 10 students graduate each year after completing the coursework required for this sub-plan. It is anticipated that a separate degree option will increase its popularity among students and fill an important gap in the region’s educational and workforce development portfolio. More specifically,

- The proposed program will be the first terminal master’s in I/O psychology in the greater Houston region;
- The degree will enhance graduate’s opportunities for employment by providing them with a degree in I/O psychology rather than a broad behavioral sciences degree;
- The program will address the expected 25% to 33% employment growth for I/O psychologists projected over the next decade by the Texas Workforce Commission; and
- The program will address other workforce needs associated with understanding human behavior in organizations.

08/16/2011
Consent Docket - A&SS A-1.1.1
Program Duplication

The proposed degree program will be the first terminal master's degree in Industrial/Organizational psychology in the Houston region. At present, there are two I/O programs in the Houston area (Rice and the University of Houston) that lead to the Ph.D. Consequently, the focus of these programs is on preparing doctoral level professionals focused on research.

Faculty Resources

The program will utilize existing faculty resources including one full professor, two associate professors, four assistant professors and adjuncts as needed. An additional faculty member is proposed for FY 2013.
## PRO FORMA FOR MASTER OF ARTS IN INDUSTRIAL/ORGANIZATIONAL PSYCHOLOGY PROGRAM

**v.5 - October 2010**

### Enrollments*

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<th>Fall 2015</th>
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### Expenses

#### Faculty

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</table>

**Staff**

| Position 1     | 15,000  | 15,000  | 15,000  | 15,000  | 15,000  | 15,000  |
| Position 2     | -       | -       | -       | -       | -       | -       |
| Position 3     | -       | -       | -       | -       | -       | -       |

**Total Salaries**

- 50,000
- 15,000
- 65,000

**Benefits @ 30%**

- 15,000
- 15,000
- 15,000
- 15,000
- 15,000
- 15,000

**Total Personnel**

- 65,000
- 65,000
- 65,000
- 65,000
- 65,000
- 65,000

#### Non-Personnel

| Annual maintenance & operations | 10,000  | 10,000  | 10,000  | 10,000  | 10,000  | 10,000  |
| Library and Information Technology | -       | -       | -       | -       | -       | -       |
| Recruiting                      | -       | -       | -       | -       | -       | -       |
| Accreditation                   | -       | -       | -       | -       | -       | -       |
| Laboratory start up             | -       | -       | -       | -       | -       | -       |

**Total Non-Personnel**

- 10,000
- 10,000
- 10,000
- 10,000
- 10,000
- 10,000

**Total Annual Expense**

- $75,000
- $75,000
- $75,000
- $75,000
- $75,000
- $75,000

### Revenue

| University Tuition | 32,280  | 58,104  | 71,016  | 71,016  | 71,016  | 71,016  |
| University Fees    | 5,093   | 9,168   | 11,205  | 11,205  | 11,205  | 11,205  |
| College Tuition and Fees | 3,240  | 5,832   | 7,128   | 7,128   | 7,128   | 7,128   |

**Subtotal**

- 40,613
- 73,104
- 89,349
- 89,349
- 89,349
- 89,349

**Formula Funding**

| Generated | 48,911  | 48,911  | 68,628  | 68,628  |
| Allocated to university operations (60%) | (29,947) | (29,947) | (41,177) | (41,177) |

**Net additional formula funding**

- 19,964
- 19,964
- 27,451
- 27,451

**Total new revenue**

- $40,613
- $73,104
- $109,314
- $109,314
- $116,800
- $116,800

**New new revenue**

- $34,387
- $1,896
- $34,314
- $34,314
- $41,800
- $41,800

*Note: UHCL already has a sup-plan in I/O Psychology. The table reflects incremental enrollments only.*
UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Academic and Student Success

ITEM: Approval of Appointment of President for the University of Houston - Victoria

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approve the appointment of Dr. Philip D. Castille as President for the University of Houston - Victoria pursuant to Board Policy 57.10. The effective date of his appointment is August 18, 2011.

SUPPORTING DOCUMENTATION: Biographical Sketch and Personnel Recommendation

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston-Victoria

SENIOR VICE CHANCELLOR

CHANCELLOR

08/17/2011
Consent Docket – A&SS B-1
University of Houston System Board of Regents

Personnel Recommendation

Organization: University of Houston Victoria

Type of Appointment: Appointment
Name: Philip D. Castille
To Title: President for the University of Houston - Victoria
Effective Date: August 18, 2011
Philip D. Castille

Dr. Castille earned a Ph.D. in English from Tulane University as well as a bachelor’s degree in philosophy and English. He has a master’s degree in English from the University of North Carolina at Chapel Hill.

From 1981 through 1994 Dr. Castille was a member of the University of Downtown faculty.

His scholarship has focused on southern culture and literature. His work has appeared in numerous books and journals focusing on the modern south.

His administrative positions include Head of the English Department at Louisiana Tech University, Founding Dean of the College of Arts and Sciences at Eastern Washington University, and Vice Chancellor for Academic Affairs at the University of Hawaii at Hilo.

Among Dr. Castille’s accomplishments was the creation of the first College of Pharmacy in the state of Hawaii. He has also been very active in the community serving on the Board of the Symphony while he was in Spokane.
UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS AGENDA

COMMITTEE: Academic and Student Success

ITEM: Approval of Appointment of Vice Chancellor/Vice President for Student Affairs for the University of Houston System/University of Houston

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approve the appointment of Dr. J. Richard Walker as Vice Chancellor/Vice President for Student Affairs for the University of Houston System/University of Houston pursuant to Board Policy 57.10. The effective date of his appointment is September 19, 2011.

SUPPORTING DOCUMENTATION: Biographical Sketch and Personnel Recommendation

FISCAL NOTE:

RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston System/University of Houston

08/17/2011
Consent Docket – A&SS C-1
University of Houston System Board of Regents

Personnel Recommendation

Organization: University of Houston System

Type of Appointment: Appointment
Name: J. Richard Walker
To Title: Vice Chancellor/Vice President for Student Affairs
Effective Date: September 19, 2011
Dr. J. Richard Walker

Dr. Walker has more than 29 years of experience in higher education, at both public and private institutions. He has been at the University of Miami since 1987, where he has worked in a variety of progressively responsible positions giving him experience in strategic planning, financial management, development/advancement initiatives and assessment. Previous universities at which he worked are Central Missouri State University and Middle Tennessee State University.

Dr. Walker received his Ed.D. degree in higher education leadership from the University of Miami in 2009. He earned a master of education degree in education administration and supervision from Memphis State University in 1982 and a bachelor of science degree in history from Middle Tennessee State University in 1981.
Approval is requested of site and scope for construction of a multi modal parking garage near Calhoun Road and Wheeler. The project will be a base bid of 1,500 parking spaces and approximately 500,000 GSF with an option for up to an additional 500 spaces for a total of 2,000 parking spaces and approximately 670,000 GSF.

In addition the project will accommodate and link multiple modes of transportation including UH Shuttle Buses, Metro Buses and access to the Metro Light Rail Station on Wheeler.

SUPPORTING DOCUMENTATION: Multi Modal Garage #1 Program, Description and Site Plans
FISCAL NOTE: See corresponding Finance and Administration agenda item
RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item
COMPONENT: University of Houston

PRESIDENT: Renu Khator DATE: 8/1/11
EXECUTIVE VICE CHANCELLOR: Carl Carlucci DATE: 7/29/2011
CHANCELLOR: Renu Khator DATE: 8/1/11

08/17/2011
Consent Docket – FCMP D-1
Introduction/Program:
The Campus Framework Plan adopted by the Board of Regents in 2007 and the Campus Transportation and Parking Plan both include a major parking structure at the corner of Wheeler and Calhoun as part of the Wheeler Precinct and as an anchor for the Southeast entry into campus. This project follows those plans and will replace parking space previously lost with the construction of the Health Biomedical Building and Cougar Village I and that will be lost with the construction of Metro’s light rail lines and Cougar Village II. It will provide needed spaces for faculty, staff, commuter students and residence hall students.

The project will provide a minimum of 1500 parking spaces and approximately 500,000 GSF with an option for up to an additional 500 spaces for a total of 2000 parking spaces and approximately 670,000 GSF.

In addition the project will accommodate and link multiple modes of transportation including UH Shuttle Buses, Metro Buses and access to a Metro Light Rail Station.

UH Transportation Plan:
UH currently experiences approximately 125,000 vehicle trips per weekday, with an expected increase to over 160,000 within the next decade. To mitigate the impact of this growth, the University collaborated with regional transportation agencies (City of Houston, Metropolitan Transportation Authority, Houston-Galveston Area Council, and Texas Department of Transportation) to develop the UH Transportation Plan. This Plan was utilized to project campus needs and potential transportation capital projects. These projects then formed the basis for Parking Fee recommendations.

The current UH Transportation Plan calls for a reduction in vehicle trips by a minimum of 10% (12,500 to 16,000 vehicle trips per day) by providing convenient access to rail and bus transit, van and carpools, pedestrian walkways, and bicycle transportation. To achieve this goal a significant segment of trips to UH must be by modes other than individuals driving alone. By reducing vehicle trips, the University’s transportation plan will reduce congestion, reduce the need for more parking garages, conserve energy, reduce the University’s carbon footprint, and help to improve air quality.

The University of Houston’s goal is to implement a transportation plan that links multiple transportation mode- a “multimodal” plan- to achieve a seamless integration of Metro light rail, buses and UH shuttles with the campus and surrounding community. This coordination will significantly increase ridership for Metro, improve access to and from the University, reduce the need for parking garages, and enable the University to handle a larger portion of its projected increase in campus enrollment and activity without a corresponding increase in vehicle trips. With additional mobility choices, the UH Transportation Plan will produce economic, environmental and "quality of place" benefits for the region.

Multimodal Description:
In order to accomplish these goals, UH proposes a coordinated transportation plan that includes developing transportation centers linking all of the various at each of the three proposed Metro light rail stations that will be constructed along the perimeter of the campus. The Campus loop circulation road will provide the pathway for the campus shuttle bus and cross campus trips.
This project is the first of those “multi-modal centers.” It combines this coordinated transportation effort with a campus information center. It will provide grade-separated access to the Metro Light Rail Station, Metro bus access, and direct connection to the UH Shuttle. Connections to pedestrian walkways and bicycle paths will serve all areas of the campus, greatly enhancing the desirability of commuting to campus via mass transit.

The Garage will be accessed from Calhoun and will include space for the UH Shuttle Busses and pedestrian walkways to the Metro Light Rail Station on Wheeler. A comprehensive traffic analysis will determine the exact size, layout, entrances and resulting traffic accommodations. It will also study what layout will best accommodate Metro buses.

Project Details:
The southeast corner of the project at Calhoun and Wheeler will include special design features in recognition of the importance, visibility and marketing possibilities of this prime corner of campus. The security design of the garage will include extensive security cameras and emergency phones all tied to and monitored by University Police. It will also feature upgraded lighting levels, glass stair towers and glass rear elevator cab walls as well as well lit pedestrian circulation leading from all entrances and exits. The exterior of the building will utilize the materials utilized elsewhere on campus and that are part of the University’s standardized material and design palette.
COMMITTEE: Facilities, Construction and Master Planning

ITEM: Approval of the Naming of Building No. 9A at the Energy Research Park at the University of Houston to be named ConocoPhillips Petroleum Engineering Building

DATE PREVIOUSLY SUBMITTED: NA

SUMMARY:

Approval is requested, consistent with Board policy 32.02, for naming Building No. 9A at the Energy Research Park at the University of Houston the ConocoPhillips Petroleum Engineering Building.

SUPPORTING DOCUMENTATION: Naming Justification

FISCAL NOTE: NA

RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston

PRESIDENT Renu Khator DATE 8/11/11

EXECUTIVE VICE CHANCELLOR Carl Carlucci DATE 7/29/2011

CHANCELLOR Renu Khator DATE 8/11/11

08/17/2011
Consent Docket – FCMP E-1
The University of Houston's drive toward Tier One Status and increased research activity has centered on key areas that reflect the strength and needs of Houston and Texas. This strategy allows UH to best leverage resources and respond to industry requirements. A key part of this strategy involves Energy and associated Energy Research. It is the prime component of the Research Park the University is developing at the former Schlumberger site and its success depends upon important Energy Industry partners.

ConocoPhillips has stepped forward as a primary partner in all of these University of Houston efforts. They have contributed talent, money and significant resources toward UH developing a Petroleum Engineering Program and in expanding energy research. Their noteworthy gifts have allowed the renovation and finishing of Building 9A for the Petroleum Engineering Department. In addition their areas of Philanthropic Support include significant financial support of the following programs:

C. T. Bauer College of Business
- Emerging Leaders Academic Success Program
- National Association of Black Accountants

Cullen College of Engineering
- Program for Mastery in Engineering Studies (PROMES)
- Society of Women Engineers
- National Society of Black Engineers
- Society of Hispanic Professional Engineers

College of Natural Sciences and Mathematics
- Scholar Enrichment Program (SEP)

Besides financial support ConocoPhillips and their team have volunteered as advisors, industry experts, academic resources and mentors. The many examples include:

Ryan Lance, SVP Exploration & Production/ B.S., Petroleum Engineering from Montana Tech
- UH President’s Energy Advisory Board
- Chairman, Spindletop International
- Montana Tech Foundation board

Kelly Talkington, Director of Global Upstream Engineering Recruiting & Development
- UH PE Advisory Board
- Instrumental in cultivation efforts for $3 million total commitment to UH petroleum engineering

Over the past four years the relationship between the University of Houston and Conoco Phillips has grown. As part of that growth UH has been rewarded as one of ConocoPhillips' core schools in their college portfolio from which they recruit.

Based on their extensive support to the University of Houston as outlined above it is recommended Building No. 9A at the Energy Research Park at the University of Houston be named the ConocoPhillips Petroleum Engineering Building.

08/17/2011
Consent Docket – FCMP E-1.1.1
UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

AUDIT & COMPLIANCE
COMMITTEE ITEMS

FOR

CONSENT DOCKET APPROVAL

AUGUST 17, 2011
COMMITTEE: Audit & Compliance

ITEM: Approval of UHS Internal Auditing Department Long-Range Internal Audit Plan for Fiscal Years 2012-2014

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Attached for your review and approval is the UHS Internal Auditing Department Long-Range Internal Audit Plan for Fiscal Years 2012-2014 (Audit Plan). The Audit Plan has been prepared to meet the requirements of the Board of Regents policy and the Texas Internal Auditing Act (Texas Government Code, Sec. 2102), as amended. The Texas Internal Auditing Act requires the Board of Regents to approve the Audit Plan and periodically review the resources dedicated to the Internal Audit program and determine if adequate resources exist to ensure that risks identified in the annual risk assessment are adequately covered within a reasonable time frame. The Internal Audit resources are described in Section 2 of the Audit Plan, and the risk assessment is included in Section 8 of the Audit Plan. Risk assessment techniques were employed to identify auditable areas and to ensure that the accounting systems and controls, the administrative systems and controls, and other major systems and controls are reviewed on a periodic basis.

SUPPORTING DOCUMENTATION: UHS Internal Audit Plan (under separate cover)

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Approval

COMPONENT: University of Houston System

CHIEF AUDIT EXECUTIVE Don Guyton

DATE 7/25/11

CHANCELLOR Renu Khator

DATE 8/1/11

08/17/2011
Consent Docket – AUDIT F-1
UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

FINANCE & ADMINISTRATION
COMMITTEE ITEMS

FOR

CONSENT DOCKET APPROVAL

AUGUST 17, 2011
COMMITTEE: Finance and Administration

ITEM: Approval is requested for the University of Houston System FY 2012 Plan and Budget.

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested for the FY 2012 Plan and Budget. Annual plans, plus revenue and expenditure budgets, are being presented for all university components for the period September 2011 through August 2012. The budget for the UH System includes operating, restricted and capital facilities budgets for the University of Houston, University of Houston-Downtown, University of Houston-Clear Lake, University of Houston-Victoria, and University of Houston System Administration.

SUPPORTING DOCUMENTATION: FY2012 Budget Booklet (Under Separate Cover)

FISCAL NOTE: FY2012 System-wide Budget - $1.36 Billion

RECOMMENDATION/ ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston System

EXECUTIVE VICE CHANCELLOR Carl Carlucci

CHANCELLOR Renu Khator

08/17/2011
Consent Docket - F&A G-1
Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $80 million (increased from the originally approved $44.2 million project) for design and construction of the University Center Addition and Renovation at the University of Houston.

DATE PREVIOUSLY SUBMITTED: August 2010

SUMMARY: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $80 million for design and construction of the University Center Addition and Renovation at the University of Houston. This follows the recent Student Government Association resolution supporting the second fee increase of $50 to begin Fall 2012.

The project will construct two additions. One will be east of the existing UC. The first floor of this addition will house dining areas thus minimizing MEP renovation of the existing facility. The second floor of the east addition will include a 400-seat theatre space, a Senate Chamber for SGA, and (contingent on funding) a 600-person ballroom.

The second addition will be constructed above the UC North Patio (above the UC Underground) on the north side of the building. It will house student organization offices, carrels, and workspaces. It will also form new plazas with the Classroom Business Building currently under construction.

Finally a major portion of the project will renovate the existing building including eliminating deferred maintenance items.

The first phase of this student-initiated and recommended project was previously approved by the Board (August 2010).

SUPPORTING DOCUMENTATION: Financial Pro Forma, Business Plan, Timeline, Site Plan

FISCAL NOTE: Bonds Supported by Student University Center Fee and Food Service Commission, $80,000,000 Total.

RECOMMENDATION/ ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston

Renu Khator
DATE 8/1/11

Carl Carlucci
DATE 7/29/2011

Renu Khator
DATE 8/1/11

08/17/2011
Consent Docket - F&A H-1
UC Transformation Budget

Construction Cost $61,428,000
Professional Services Fees $6,892,000
Project Management Fee $2,330,000
Public Art $234,000
Contingencies $5,535,000
Administrative Costs $3,581,000

TOTAL PROJECT COST $80,000,000

Proposed Project Schedule

Design starts September 2011
Construction starts May 2012
Initial Occupancy of New Additions August 2013
Substantial Completion August 2015

Funding Sources

Auxiliary Revenues (Bonds) supported by Student University Center Fee and Food Service Commission - $80,000,000

08/17/2011
Consent Docket - F&A H-1.1.2
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<tr>
<th>Year</th>
<th>Revenue (C+D)</th>
<th>Debt Service (E+F+G)</th>
<th>Phase 1 Debt Service</th>
<th>Phase 2 Debt Service</th>
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<th>Coverage</th>
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Total $105,193,350 $6,300,840 $111,494,190 $9,740,125 $208,895,440 $5,426,750 $184,400,000 $185,068,690 $75,453,886 $64,852,531 $140,306,412 $44,762,273
ITEM: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $48.5 million for design and construction of a Sophomore Residence Hall to replace the existing Cougar Place facility at the University of Houston.

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $48.5 million for design and construction of a Sophomore Residence Hall to replace the existing Cougar Place facility at the University of Houston.

This project will construct a new Sophomore Residence Hall complex to replace the existing Cougar Place Facility at the University of Houston. The project size will be approximately 316,000 GSF for the proposed base bid of 800 beds.

SUPPORTING DOCUMENTATION: Financial Pro Forma, Business Plan, Timeline, Site Plan

FISCAL NOTE: Bonds Supported by Residence Hall rents, $48.5 million Total

RECOMMENDATION/ ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston

PRESIDENT Renu Khator 8/1/11
EXECUTIVE VICE CHANCELLOR Carl Carlucci 7/29/11
CHANCELLOR Renu Khator 8/1/11

08/17/2011
Consent Docket - F&A I-1
University of Houston
Cougar Place Replacement
Financial Pro Forma

Financing

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Cash Flow

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Debt Coverage Ratio

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<td>Debt Coverage Ratio</td>
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<td>1.22</td>
<td>1.26</td>
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SECTION 1 -

Sophomore Housing Budget

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<td><strong>TOTAL PROJECT COST</strong></td>
<td><strong>$48,500,000</strong></td>
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Proposed Project Schedule

- Program confirmation: July 2011
- Design starts: September 2011
- Construction starts: March 2012
- Occupancy: June 2013

Funding Sources

- Auxiliary Revenues (Bonds) – Housing Fees: $48,500,000

08/17/2011
Consent Docket - F&A I-1.1.2
COUGAR PLACE REPLACEMENT/SOPHOMORE HOUSING SITE
Cougar Place Replacement/Sophomore Housing Project Description

The University of Houston is working to increase student success by the recruitment of top scholars, retaining those students once they become Cougars and graduating them in higher proportions. UH is also seeking to increase student life and campus activity. On campus living/learning opportunities are central to all of these efforts. In addition one of the measures Carnegie and others use for classifying Tier One Universities is having 25% of undergraduate students living on campus. Based on this the University has adopted a goal of having 7500 UH students living on campus by 2015 and 9000 students living on campus by 2020.

Since enacting the 2006 Campus Framework Plan, the University has steadily added residential housing toward these goals. Cougar Village Phase One opened in Fall 2010 to provide over 1100 students a quality freshmen living/learning experience. The project coupled with the renovation of the Moody Dining Hall was extremely successful in student recruitment, student engagement and student life and support. That lead to questions from the students in Cougar Village about where they can move when the next class of freshmen enrolls at UH and demands by those residents for a similar high quality living/learning experience oriented to their needs as Sophomores.

In addition academic studies demonstrate that if students remain on campus for the first two years of their University career they are much more likely to continue in their studies, graduate more quickly and have more successful academic careers. This project will help accomplish these goals.

With Metro light rail and the development of the West and Southwest portions of Campus the existing Cougar Place site could be much better utilized than its current low density development. In addition the existing Cougar Place complex contains significant costly deferred maintenance problems, has outlived its 25 year life-span, and would require extensive renovation to meet current codes and housing standards. Razing and redeveloping this site will extend the Student Life synergy started with Moody Dining Renovation and Cougar Village Phase One. When coupled with the new West Campus Dining Hall, renovations to the Quadrangle and Moody Towers and Cougar Village Phase 2 it will provide UH with an extended zone of activity and Campus Life fostering Student Success.

The new Cougar Place project replaces and is on the site of the current Cougar Place complex, located south of Robertson Stadium and west of Cullen Street. The project's entry will be near the intersection of Cougar Place Drive and Cullen, directly across from the intersection of Cougar Place Drive and the proposed loop road. It will double the housing density currently at the site, remove buildings with significant deferred maintenance problems, meet all current codes, and offer new housing and academic amenities to this area of campus. It will also energize the Southwest quadrant of campus and anchor the west end to the Student Living Zone.
The project will be a design build project with a base proposal of 800 beds with an add alternate for 200 additional beds. Unit configuration is expected to be 4-bedroom suites with 2 private baths, and a small common living area.

Key to the project will be academic and student space to support student development and living learning environment. This may include but not be limited to instructional space and student service space for counseling, tutoring and other functions needed to enhance student life and student success as well as the following spaces:

- Classrooms/Academic Spaces for Sophomores
- Student Computer Labs
- Study rooms on each floor/wing
- Social lounges on each floor/wing
- Building vending area
- Building Common Lounge/multipurpose room
- Large game room.
- Adjoining small kitchen/serving area adjacent to the Building Common Lounge
- Housing staff offices including space for Residence Assistants
- Fitness Center (May also be small fitness areas throughout the project)
- Laundry facilities

Exterior features for recreation and other activities will also be included.

Design of the facility will be based on the material palette of existing UH buildings such as Cougar Village. A ceramic tile mural by artist Malou Flato currently affixed to one of the apartment exterior walls in the existing Cougar Place will be dismantled and re-installed at another campus location.

The project will be designed to LEED Silver standards and open in August 2013.
COUGAR PLACE REPLACEMENT/SOPHOMORE HOUSING SITE

08/17/2011
Consent Docket - F&A I-1.1.7
COMMITTEE: Finance and Administration

ITEM: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $11.5 million for design and construction of the University of Houston Victoria Sophomore Housing Project

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $11.5 million for design and construction of the University of Houston Victoria Sophomore Housing Project.

The project size will be approximately 104,000 GSF for approximately 250 beds.

SUPPORTING DOCUMENTATION: Financial Pro Forma, Business Plan, Timeline, Site Plan

FISCAL NOTE: Bonds Supported by Residence Hall rents, $11.5 million Total

RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston-Victoria

EXECUTIVE VICE CHANCELLOR

Carl Carlucci

DATE 7/29/2011

CHANCELLOR

Remu Khator

DATE 8/1/11
### University of Houston-Victoria  
260 Bed Sophomore Residence Hall  
Financial Pro Forma

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|                          |                 |                 |                 |                 |                 |
| **Expenses**             |                 |                 |                 |                 |                 |
| Payroll, includes benefits | 102,000         | 105,060         | 108,212         | 111,458         | 114,802         |
| Utilities                | 49,200          | 50,676          | 52,196          | 53,762          | 55,375          |
| Security                 | 43,050          | 44,342          | 45,672          | 47,042          | 48,453          |
| Management Fee           | 57,500          | 57,500          | 59,225          | 59,225          | 61,002          |
| Marketing                | 3,000           | 3,090           | 3,183           | 3,276           | 3,377           |
| Maintenance              | 30,000          | 30,900          | 31,827          | 32,782          | 33,785          |
| Def Maint.               | 129,375         | 129,375         | 129,375         | 129,375         | 129,375         |
| Insurance                | 30,000          | 30,900          | 31,827          | 32,782          | 33,785          |
| **Total Expenses**       | 444,125         | 451,843         | 461,517         | 469,704         | 479,914         |

|                          |                 |                 |                 |                 |                 |
| **Net Operating Income** | 949,625         | 941,908         | 971,046         | 962,858         | 992,625         |

|                          |                 |                 |                 |                 |                 |
| Debt Service             | (791,928)       | (791,928)       | (791,928)       | (791,928)       | (791,928)       |
| **Net Cash Flow**        | $157,697        | $149,979        | $179,118        | $170,930        | $200,697        |

|                          |                 |                 |                 |                 |                 |
| Cumulative Net Cash Flow | $157,697        | $149,979        | $179,118        | $170,930        | $200,697        |

|                          | 1.20            | 1.19            | 1.23            | 1.22            | 1.25            |
| Debt Coverage Ratio      |                 |                 |                 |                 |                 |

08/17/2011  
Consent Docket - F&A J-1.1.1
SECTION 1 - Sophomore Housing Budget

Construction Cost $8,729,000
Professional Services Fees $1,041,000
Project Management Fee $335,000
Public Art $87,000
Contingencies $802,000
Administrative Costs $506,000
TOTAL PROJECT COST $11,500,000

Proposed Project Schedule

Program confirmation September 2011
Design starts September 2011
Construction starts April 2012
Occupancy May 2013

Funding Sources

Auxiliary Revenues (Bonds) – Housing Fees $11,500,000
UH VICTORIA PROPERTY ANALYSIS
12.15.10
COMMITTEE: Finance and Administration

ITEM: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $20 million for design and construction of Multi Modal Garage I at the University of Houston.

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested to delegate authority to the Chancellor to negotiate and execute contracts up to $20 million for design and construction of Multi Modal Garage I at the University of Houston.

This project will construct a multi-modal parking garage near Calhoun Road and Wheeler. The project will be a base bid of 1500 parking spaces and approximately 500,000 GSF with an option for up to an additional 500 spaces for a total of 2000 parking spaces and approximately 670,000 GSF.

In addition, the project will accommodate and link multiple modes of transportation including UH Shuttle Buses, Metro Buses and access to the Metro Light Rail Station on Wheeler.

SUPPORTING DOCUMENTATION: Financial Pro Forma, Business Plan, Timeline, Site Plan

FISCAL NOTE: $20 million project cost financed with bonds supported by parking revenue

RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston

PRESIDENT

EXECUTIVE VICE CHANCELLOR

CHANCELLOR

08/17/2011
Consent Docket - F&A K-1
# University of Houston
Parking and Transportation Services
Combined Pro Forma

<table>
<thead>
<tr>
<th>Parking Rate Increases:</th>
<th>Faculty/Staff</th>
<th>Students</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Approved</td>
<td>Proposed</td>
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<tr>
<td></td>
<td>14%</td>
<td>19%</td>
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<tr>
<td></td>
<td>17%</td>
<td>5%</td>
</tr>
<tr>
<td></td>
<td>19%</td>
<td>5%</td>
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<tr>
<td></td>
<td>0%</td>
<td>0%</td>
</tr>
<tr>
<td></td>
<td>2%</td>
<td>0%</td>
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<tr>
<td></td>
<td>0%</td>
<td>0%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenues:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Permits</td>
<td>$4,764,875</td>
<td>$5,351,946</td>
<td>$5,930,000</td>
<td>$7,223,182</td>
<td>$9,236,634</td>
<td>$11,864,804</td>
<td>$11,937,854</td>
<td>$12,236,742</td>
<td>$12,301,602</td>
<td>$12,364,628</td>
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<tr>
<td>Citation</td>
<td>1,957,273</td>
<td>2,049,670</td>
<td>2,300,000</td>
<td>2,125,000</td>
<td>1,965,000</td>
<td>1,700,000</td>
<td>1,700,000</td>
<td>1,500,000</td>
<td>1,500,000</td>
<td>1,500,000</td>
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<tr>
<td>Meters</td>
<td>99,430</td>
<td>102,323</td>
<td>111,083</td>
<td>200,000</td>
<td>204,000</td>
<td>212,242</td>
<td>216,486</td>
<td>220,816</td>
<td>225,232</td>
<td>229,737</td>
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<tr>
<td>Visitor Parking</td>
<td>557,246</td>
<td>627,865</td>
<td>727,116</td>
<td>650,000</td>
<td>1,154,280</td>
<td>1,142,813</td>
<td>1,224,974</td>
<td>1,214,210</td>
<td>1,225,995</td>
<td>1,238,114</td>
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<tr>
<td>Campus Parking</td>
<td>86,944</td>
<td>34,091</td>
<td>50,342</td>
<td>650,000</td>
<td>1,154,280</td>
<td>1,142,813</td>
<td>1,224,974</td>
<td>1,214,210</td>
<td>1,225,995</td>
<td>1,238,114</td>
</tr>
<tr>
<td>Retail</td>
<td>-</td>
<td>448,937</td>
<td>120,000</td>
<td>120,000</td>
<td>320,000</td>
<td>320,000</td>
<td>320,000</td>
<td>320,000</td>
<td>320,000</td>
<td>320,000</td>
</tr>
<tr>
<td>Misc. Parking</td>
<td>16,751</td>
<td>26,815</td>
<td>22,086</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td><strong>Total Revenue</strong></td>
<td>7,464,519</td>
<td>8,641,646</td>
<td>9,260,609</td>
<td>10,318,182</td>
<td>12,879,884</td>
<td>14,886,397</td>
<td>15,423,414</td>
<td>15,493,768</td>
<td>15,572,829</td>
<td>15,652,379</td>
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<tr>
<td><strong>Expenses:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Salary and Wages</td>
<td>638,022</td>
<td>631,133</td>
<td>854,433</td>
<td>967,028</td>
<td>1,026,929</td>
<td>1,057,747</td>
<td>1,061,479</td>
<td>1,122,164</td>
<td>1,155,829</td>
<td>1,190,504</td>
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<td>Capital Equipment</td>
<td>-</td>
<td>72,781</td>
<td>42,010</td>
<td>25,000</td>
<td>25,000</td>
<td>25,000</td>
<td>25,000</td>
<td>25,000</td>
<td>25,000</td>
<td>25,000</td>
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<tr>
<td>Travel and Foods</td>
<td>3,803</td>
<td>5,299</td>
<td>8,393</td>
<td>10,000</td>
<td>10,000</td>
<td>10,000</td>
<td>10,000</td>
<td>10,000</td>
<td>10,000</td>
<td>10,000</td>
</tr>
<tr>
<td>Bad debt</td>
<td>14,617</td>
<td>73,034</td>
<td>27,473</td>
<td>221,713</td>
<td>218,062</td>
<td>228,333</td>
<td>218,062</td>
<td>228,333</td>
<td>208,763</td>
<td>193,918</td>
</tr>
<tr>
<td>Deferred Maintenance Allowance</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Funds Equity Transfers</td>
<td>2,296,393</td>
<td>497,943</td>
<td>2,012,373</td>
<td>900,000</td>
<td>927,000</td>
<td>954,810</td>
<td>983,454</td>
<td>1,012,958</td>
<td>1,043,347</td>
<td>1,074,647</td>
</tr>
<tr>
<td>Project Transfers</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td><strong>Total Operating Expenses</strong></td>
<td>5,719,995</td>
<td>4,304,864</td>
<td>5,684,836</td>
<td>5,453,741</td>
<td>6,491,001</td>
<td>6,696,060</td>
<td>7,390,612</td>
<td>7,494,543</td>
<td>7,585,501</td>
<td>7,706,499</td>
</tr>
<tr>
<td><strong>Cash Flow Before Debt Service</strong></td>
<td>1,744,524</td>
<td>4,336,982</td>
<td>3,275,973</td>
<td>4,864,461</td>
<td>6,388,883</td>
<td>7,917,337</td>
<td>7,956,286</td>
<td>7,928,871</td>
<td>7,908,268</td>
<td>7,976,980</td>
</tr>
<tr>
<td><strong>Debt Service Garages</strong></td>
<td>1,513,353</td>
<td>2,877,504</td>
<td>3,074,852</td>
<td>5,169,235</td>
<td>5,169,235</td>
<td>6,894,451</td>
<td>6,894,451</td>
<td>6,894,451</td>
<td>6,894,451</td>
<td>6,894,451</td>
</tr>
<tr>
<td><strong>Cash Flow After Debt Service</strong></td>
<td>$231,221</td>
<td>$1,459,478</td>
<td>$201,121</td>
<td>$304,794</td>
<td>$1,219,848</td>
<td>$1,022,886</td>
<td>$1,064,835</td>
<td>$1,034,420</td>
<td>$1,013,817</td>
<td>$1,082,529</td>
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<tr>
<td><strong>Debt Service Coverage Ratio</strong></td>
<td>1.15</td>
<td>1.51</td>
<td>1.07</td>
<td>0.94</td>
<td>1.24</td>
<td>1.15</td>
<td>1.15</td>
<td>1.15</td>
<td>1.15</td>
<td>1.15</td>
</tr>
</tbody>
</table>
**Garage 1A Budget**

<table>
<thead>
<tr>
<th>Item</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Construction Cost</td>
<td>$15,725,000</td>
</tr>
<tr>
<td>Professional Services Fees</td>
<td>$1,118,063</td>
</tr>
<tr>
<td>Project Management Fee</td>
<td>$582,524</td>
</tr>
<tr>
<td>Public Art</td>
<td>$156,000</td>
</tr>
<tr>
<td>Contingencies</td>
<td>$2,386,500</td>
</tr>
<tr>
<td>Administrative Costs</td>
<td>$31,913</td>
</tr>
<tr>
<td><strong>TOTAL PROJECT COST</strong></td>
<td><strong>$20,000,000</strong></td>
</tr>
</tbody>
</table>

**Proposed Project Schedule**

- Program confirmation: August 2011
- Design starts: January 2012
- Construction starts: July 2012
- Occupancy: July 2013

**Funding Sources**

- Auxiliary Revenues (Bonds) – Parking Fees: $20,000,000
COMMITTEE: Finance and Administration

ITEM: Approval of the Twenty-third Supplemental Resolution, the Preliminary Official Statement, and the Notices of Sale authorizing the issuance of Board of Regents of the University of Houston System Consolidated Revenue and Refunding Bonds, Series 2011A and Series 2011B (Taxable).

DATE PREVIOUSLY SUBMITTED:

SUMMARY: Approval is requested of the Twenty-third Supplemental Resolution, the Preliminary Official Statement (POS), and the Notices of Sale (NOS) authorizing the System to issue Board of Regents of the University of Houston System Consolidated Revenue and Refunding Bonds, Series 2011A and Series 2011B (Taxable) to be sold by competitive sale for new money and refunding purposes.

SUPPORTING DOCUMENTATION: List of projects to be financed with the Twenty-third Supplemental Resolution, POS and NOS on file in the Board office.

FISCAL NOTE: PAR amount of the bonds not to exceed $315 million

RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston System

EXECUTIVE VICE CHANCELLOR: Carl Carlucci

CHANCELLOR: Renu Khator

DATE: 7/29/2011

DATE: 8/1/11

08/17/2011
Consent Docket - F&A L-1
University of Houston System
Projects for CRRB, Series 2011A and CRRB, Series 2011B (Taxable)
As of July 12, 2011

<table>
<thead>
<tr>
<th>Project Short Name</th>
<th>Component</th>
<th>Project Budget</th>
<th>UH Funds (Equity)</th>
<th>PAR Amount of Bonds</th>
</tr>
</thead>
<tbody>
<tr>
<td>University Center Phases I &amp; II</td>
<td>UH</td>
<td>$ 80,000,000</td>
<td>$ (5,426,750)</td>
<td>74,573,250</td>
</tr>
<tr>
<td>West Dining Hall (1)</td>
<td>UH</td>
<td>9,300,000</td>
<td>(4,300,000)</td>
<td>5,000,000</td>
</tr>
<tr>
<td>Quadrangle Refresh (1)</td>
<td>UH</td>
<td>1,839,571</td>
<td>-</td>
<td>1,839,571</td>
</tr>
<tr>
<td>Moody Towers Refresh (1)</td>
<td>UH</td>
<td>3,916,936</td>
<td>-</td>
<td>3,916,936</td>
</tr>
<tr>
<td>Cougar Place Replacement (2)</td>
<td>UH</td>
<td>48,500,000</td>
<td>-</td>
<td>48,500,000</td>
</tr>
<tr>
<td>Cougar Village 2 (2)</td>
<td>UH</td>
<td>50,000,000</td>
<td>-</td>
<td>50,000,000</td>
</tr>
<tr>
<td>Radio Station (1)</td>
<td>UH</td>
<td>9,705,000</td>
<td>-</td>
<td>9,705,000</td>
</tr>
<tr>
<td>4902 Gulf Frwy. (Bldg. 19) UH - Arte Publico (1)</td>
<td>UH</td>
<td>936,921</td>
<td>(691,610)</td>
<td>245,311</td>
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<tr>
<td>ERP (Bldg. 7) - UH Machine Shop (1)</td>
<td>UH</td>
<td>1,172,842</td>
<td>(478,400)</td>
<td>694,442</td>
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<tr>
<td>ERP (Bldg. 15) - UH Dr. Selva (1)</td>
<td>UH</td>
<td>615,000</td>
<td>-</td>
<td>615,000</td>
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<tr>
<td>Multi Modal Parking Garage I (2)</td>
<td>UH</td>
<td>20,000,000</td>
<td>-</td>
<td>20,000,000</td>
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<tr>
<td>UHV Residential Housing (2)</td>
<td>UHV</td>
<td>11,500,000</td>
<td>(800,000)</td>
<td>10,700,000</td>
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<tr>
<td><strong>Total PAR - New Money Bonds</strong></td>
<td></td>
<td>237,486,271</td>
<td>(11,696,760)</td>
<td>225,789,511</td>
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</tbody>
</table>

**PAR Amount - Refunding Bonds**

<table>
<thead>
<tr>
<th>Project Short Name</th>
<th>Component</th>
<th>Project Budget</th>
<th>PAR Amount of Bonds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Series 2002A &amp; 2002B (refunding PAR estimated)</td>
<td>UHS</td>
<td>$ 82,356,000</td>
<td>$ 82,356,000</td>
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</table>

**Total PAR - Refunding Bonds**

<table>
<thead>
<tr>
<th>Project Short Name</th>
<th>Component</th>
<th>Project Budget</th>
<th>PAR Amount of Bonds</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$ 82,356,000</td>
<td>$ 82,356,000</td>
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</table>

**Total PAR Amount - New Money & Refunding Bonds**

<table>
<thead>
<tr>
<th>Project Short Name</th>
<th>Component</th>
<th>Project Budget</th>
<th>PAR Amount of Bonds</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$ 319,841,271</td>
<td>$ (11,696,760)</td>
</tr>
</tbody>
</table>

(1) Technically considered a refunding as the bond proceeds will be used to payoff commercial paper
(2) Excludes capitalized interest which may be raised from bid premium in whole or in part

08/17/2011
Consent Docket - F&A L-1.1.1
COMMITTEE: Finance and Administration

ITEM: Approval to Renew Investment Resolution for the University of Houston System

DATE PREVIOUSLY SUBMITTED: February 16, 2010

SUMMARY:

Approval is requested to renew the Resolution Governing the Sale, Assignment, Endorsement, Transfer, and Delivery of Gift Securities for Any Component of the University of Houston System; the Resolution Governing the Purchase and Sale of Securities and Similar Investments for the University of Houston System Endowment Fund; and the Resolution Governing the Purchase and Sale of Securities and Similar Investments for all Non-Endowed Funds of the University of Houston System. There are no changes in persons authorized to make investment decisions, however, the resolutions must be renewed semi-annually. The authorized persons are:

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carl P. Carlucci</td>
<td>Executive Vice Chancellor for Administration and Finance</td>
</tr>
<tr>
<td>Tom Ehardt</td>
<td>Associate Vice Chancellor for Finance</td>
</tr>
<tr>
<td>Raymond S. Bartlett</td>
<td>Treasurer</td>
</tr>
<tr>
<td>Roberta Puryear</td>
<td>Assistant Treasurer</td>
</tr>
<tr>
<td>Anne Montgomery</td>
<td>Manager, Treasury Operations</td>
</tr>
<tr>
<td>Sheryl L. Walton</td>
<td>Manager, Cash Administration</td>
</tr>
</tbody>
</table>

SUPPORTING DOCUMENTATION: Investment Resolutions

FISCAL NOTE:

RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item.

COMPONENT: University of Houston System

EXECUTIVE VICE CHANCELLOR: Carl Carlucci 7/29/2011

CHANCELLOR: Renu Khator 8/1/11
RESOLUTION GOVERNING THE SALE, ASSIGNMENT, ENDORSEMENT, TRANSFER AND DELIVERY OF GIFT SECURITIES FOR ANY COMPONENT OF THE UNIVERSITY OF HOUSTON SYSTEM

Effective August 17, 2011

The Board of Regents of the University of Houston System during a meeting held on August 17, 2011 passed and duly recorded the following resolution:

WHEREAS, the component institutions of the University of Houston System do on occasion receive gifts and bequests from donors of stocks, bonds and other securities which are intended for specific or general expenditure by the institution rather than becoming a part of the institution's endowment; and,

WHEREAS, to effectuate the intent of such donors it is often necessary to sell, assign, endorse, transfer and deliver such stocks, bonds and other securities;

BE IT THEREFORE RESOLVED that:

the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
the Associate Vice Chancellor for Finance, Tom Ehardt;
the Treasurer, Raymond S. Bartlett;
the Assistant Treasurer, Roberta Puryear;
the Manager, Treasury Operations, Anne Montgomery; and

the Manager, Cash & Bank Administration, Sheryl L. Smith

or any (2) of them be and are thereby authorized to sell, assign, endorse, transfer and deliver on behalf of the respective institution's use and benefit, any stocks, bonds, and other securities, now owned or which may hereafter be acquired by such institutions of the University of Houston System by gift or bequest and which are not part of the endowment of such institution, and to affix the seal of the institution upon the instrument of sale, transfer and assignment relating thereto; and that any two (2) of the above named officers are hereby authorized to execute and deliver, and to affix the seal of the institution upon, any and all instruments, contracts, and other documents which may be deemed proper or desirable for the aforementioned purposes; and,

The Board of Regents hereby authorizes the Secretary of the Board of Regents, Mica Mosbacher, to certify copies of this resolution as being true expressions of the Board of Regents.

CERTIFICATE OF ADOPTION OF RESOLUTION

I, Mica Mosbacher, hereby certify that at a meeting of the Board of Regents of the University of Houston System held on August 17, 2011 in accordance with its bylaws at which a quorum was present and voted, the foregoing resolution was adopted by the Board, this being a true and correct copy thereof as the same which appears in the minute book of said institution.

08/17/2011
Consent Docket - F&A M-1.1.1
IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the University of Houston, this 17th day of August 2011.

For the Board of Regents of the
University of Houston System

By: ____________________________
Mica Mosbacher
Secretary, Board of Regents

(University Seal)
RESOLUTION GOVERNING THE PURCHASE AND
SALE OF SECURITIES AND SIMILAR INVESTMENTS FOR
THE UNIVERSITY OF HOUSTON SYSTEM ENDOWMENT FUND

Effective August 17, 2011

The Board of Regents of the University of Houston System during a meeting held on August 17, 2011 passed and duly recorded the following resolution:

WHEREAS, the Board of Regents authorizes the operation of certain trust-custody accounts at The Bank of New York Mellon, New York, New York styled as follows:

UNIVERSITY OF HOUSTON SYSTEM: Endowment Fund

WHEREAS, the foregoing account is operated and managed pursuant to the Custody Agreement executed by and between the University of Houston System and The Bank of New York Mellon, New York, New York and will involve the periodic purchase and sale of stocks, bonds, other securities, and similar investments;

BE IT THEREFORE RESOLVED that any one (1) of the following UNIVERSITY officers:

the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
the Associate Vice Chancellor for Finance, Tom Ehardt;
the Treasurer, Raymond S. Bartlett;
the Assistant Treasurer, Roberta Puryear;
the Manager, Treasury Operations, Anne Montgomery; and
the Manager, Cash & Bank Administration, Sheryl L. Smith

is hereby authorized in connection with the foregoing endowment account and Custody Agreement, to direct The Bank of New York Mellon, New York, New York in the sale, purchase, assignment, transfer, and/or delivery of any stocks, bonds, and any other securities or types of investments now owned or which may hereafter be acquired by the University of Houston; and that any two (2) of the following officers:

the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
the Associate Vice Chancellor for Finance, Tom Ehardt;
the Treasurer, Raymond S. Bartlett;
the Assistant Treasurer, Roberta Puryear;
the Manager, Treasury Operations, Anne Montgomery; and
the Manager, Cash & Bank Administration, Sheryl L. Smith

are hereby required to confirm in writing to The Bank of New York Mellon, New York, New York the authorization to execute and deliver any and all instruments, contracts, and other documents,
which may be deemed proper or desirable for the aforementioned purposes.

The Board of Regents hereby authorizes the Secretary of the Board of Regents, Mica Mosbacher, to certify copies of this resolution as being true expressions of the Board of Regents.

CERTIFICATE OF ADOPTION OF RESOLUTION

I, Mica Mosbacher, hereby certify that at a meeting of the Board of Regents of the University of Houston System held on August 17, 2011 in accordance with its bylaws at which a quorum was present and voted, the foregoing resolution was adopted by the Board, this being a true and correct copy thereof as the same which appears in the minute book of said institution.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the University of Houston, this 17th day of August, 2011.

For the Board of Regents of the University of Houston System

By: ________________________________
    Mica Mosbacher
    Secretary, Board of Regents

(University Seal)
RESOLUTION GOVERNING THE PURCHASE AND
SALE OF SECURITIES AND SIMILAR INVESTMENTS FOR
ALL NON-ENDOWED FUNDS OF THE UNIVERSITY OF HOUSTON SYSTEM

Effective August 17, 2011

The Board of Regents of the University of Houston System, by this resolution, provides notice that, during a meeting held on August 17, 2011, it considered, approved, and duly recorded the following with regard to transactions associated with securities and other similar investments to be purchased, sold, assigned, transferred, and/or delivered in the name of the University of Houston System and any of its component parts (hereafter referred to as UNIVERSITY):

1. RESOLVED THAT The Bank of New York Mellon; JP Morgan Chase, or any two (2) of the following officers are hereby authorized to direct and transact business in the name of the UNIVERSITY necessary to the successful purchase, sale, assignment, transfer, and/or delivery of securities and similar investments:
   - the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
   - the Associate Vice Chancellor for Finance, Tom Ehardt;
   - the Treasurer, Raymond S. Bartlett;
   - the Assistant Treasurer, Roberta Puryear;
   - the Manager, Treasury Operations, Anne Montgomery; and
   - the Manager, Cash & Bank Administration, Sheryl L. Smith

2. RESOLVED THAT The Bank of New York Mellon; JPMorgan Chase or any one (1) of the following UNIVERSITY officers may through a computerized communication system or by voice (person-to-person or telephonic) communication direct any transaction necessary to affect the purchase and/or sale of one or more investments:
   - the Executive Vice Chancellor for Administration and Finance, Carl Carlucci;
   - the Associate Vice Chancellor for Finance, Tom Ehardt;
   - the Treasurer, Raymond S. Bartlett;
   - the Assistant Treasurer, Roberta Puryear;
   - the Manager, Treasury Operations, Anne Montgomery; and
   - the Manager, Cash & Bank Administration, Sheryl L. Smith

Such transactions shall be confirmed in writing from the Office of the University Treasurer and bear two signatures of any two (2) of the UNIVERSITY officers authorized in Section 1 to act for the UNIVERSITY.

3. RESOLVED THAT The Bank of New York Mellon; JPMorgan Chase, the Executive Vice
Chancellor for Administration and Finance, the Associate Vice Chancellor for Finance, the Treasurer, the Assistant Treasurer, the Manager, Treasury Operations, and/or the Manager, Cash & Bank Administration may through a computerized communication system or by voice (person-to-person or telephonic) communication direct the purchase of any investment authorized for UNIVERSITY by the law(s) of the State of Texas, provided that such transaction is to be confirmed in writing and bear the signatures of any two (2) of the UNIVERSITY officers authorized in Section 1 to act for the UNIVERSITY.

4. RESOLVED THAT any investment purchased on behalf of the UNIVERSITY is to be purchased in the name of UNIVERSITY OF HOUSTON SYSTEM, and that title to such investment is to pass to UNIVERSITY at the time of purchase.

5. RESOLVED THAT the authority hereby confirmed shall remain in force until written notice of revocation of such authority shall be received; and,

6. RESOLVED THAT this resolution hereby revokes any and all previous resolutions respecting the effectuation of investment related transactions for UNIVERSITY.

The Board of Regents hereby authorizes the Secretary of the Board of Regents, Mica Mosbacher, to certify copies of this resolution as being true expressions of the Board of Regents.

CERTIFICATE OF ADOPTION OF RESOLUTION

I, Mica Mosbacher, hereby certify that at a meeting of the Board of Regents of the University of Houston System held on August 17, 2011 in accordance with its bylaws at which a quorum was present and voted, the foregoing resolution was adopted by the Board, this being a true and correct copy thereof as the same which appears in the minute book of said institution.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the University of Houston, this 17th day of August, 2011.

For the Board of Regents of the University of Houston System

By: ____________________________
   Mica Mosbacher
   Secretary, Board of Regents

(University Seal)
COMMITTEE: Finance and Administration

ITEM: Approval is requested for the University of Houston System FY2012 Holiday Schedule

DATE PREVIOUSLY SUBMITTED:

SUMMARY:
Approval is requested for the University of Houston System FY2012 holiday schedule.

SUPPORTING DOCUMENTATION: Proposed Holiday Schedule

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston System

EXECUTIVE VICE CHANCELLOR: Carl Carlucci

CHANCELLOR: Renu Khator

DATE: 7/29/2011

08/17/2011
Consent Docket - F&A N-1
University of Houston System Holiday Schedule  
Fiscal Year 2012

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<thead>
<tr>
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<td>Labor Day</td>
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<td>Martin Luther King Day</td>
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<td>Spring Break</td>
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<td>Memorial Day</td>
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<td>Independence Day</td>
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<td><strong>TOTAL</strong></td>
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</table>

The number of annual holidays is determined by statute.
COMMITTEE: Finance and Administration

ITEM: Approval is requested of a Purchase Order with EBSCO Subscription Services, Inc.

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

The University of Houston seeks approval of a purchase order with EBSCO Subscription Services, Inc. in the amount of $4,190,000. Through EBSCO, the Library can acquire up-to-date periodical literature, electronic subscriptions, and books in fields appropriate to the University’s curriculum and research needs more efficiently than working with each publisher individually. The agreement is effective from September 1, 2011 through August 31, 2012.

FISCAL NOTE: The cost of this service is $4,190,000 for one year (September 1, 2011 through August 31, 2012).

RECOMMENDATION/ACTION REQUESTED: Administration recommends approval of this item

COMPONENT: University of Houston

SUPPORTING DOCUMENTATION: See attached explanation of services provided by EBSCO

08/17/2011
Consent Docket - F&A O-1
Explanation of Services Provided by EBSCO Subscription Services, Inc.

The dollar amount for the subscriptions totals approximately $4,190,000 (roughly 4,000 subscription titles) for the service period September 1, 2011 through August 31, 2012.

Periodical subscriptions services vendors primarily assist libraries with the management of their annual subscriptions. The subscriptions include various journal and periodical titles as well as titles published as monographic series (i.e., books). The subscribed publications include both print and online resources. The vendor performs as an authorized agent of the library and interacts with individual publishers on the library’s behalf. In this capacity, the vendor:

- provides a secure online interface for the verification and revision of the title list,
- submits orders and payments to publishers based upon the approved title list,
- provides invoices (print and electronic) to the library for the subscriptions placed,
- processes electronically submitted claims and replacement requests for missing issues, and
- provides reports of service to the library.

The vendor and library personnel work very closely together. Processes are integrated up and down the supply chain, and both sides are dependent upon the other if the serials collection is to be managed efficiently. Vendor service representatives assigned to our account build up knowledge of the library over time. The better the service representatives know an account, the higher level of service that can be provided. Because of the level of integration between the library and the vendor, any decision to move between service providers will necessarily be highly disruptive to operations and should only be approved after careful consideration.

Our current subscriptions services provider, EBSCO, is the largest subscription services vendor in the U.S. with a title file that includes over 350,000 titles from more than 83,000 publishers worldwide. We have been working with them for many years and are presently very satisfied with their level of service.

EBSCO is directly involved in other areas of the library’s collection in addition to periodical subscriptions. The library subscribes to over 35 EBSCOHost databases (subscription costs are approximately $275,000 annually) and owns over 68,000 EBSCO eBooks. This further integrates our ongoing operations with those of this vendor, and having these resources sourced from the same place as our serials subscription is highly efficient. Orders can be placed, payments made, and issues resolved through a single company with individuals who are very knowledgeable about the collections we are building and the internal operations that support them.

08/17/2011
Consent Docket - F&A O-1.1.1
UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

ENDOWMENT MANAGEMENT COMMITTEE

NO ITEMS SUBMITTED FOR APPROVAL ON CONSENT DOCKET

Wednesday, August 17, 2011
UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS

BOARD ITEMS
TO BE PRESENTED

AT

BOARD OF REGENTS
MEETING

AUGUST 17, 2011
COMMITTEE:

ITEM: Resolution in Appreciation — Carroll Robertson Ray

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Approval is requested for a Resolution in Appreciation for Carroll Robertson Ray, who was appointed to the Board of Regents on February 5, 2008 and has served with exceptional distinction as Chair of the Board of the University of Houston System since September 1, 2010.

SUPPORTING DOCUMENTATION: Resolution in Appreciation — Carroll Robertson Ray

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Approval

COMPONENT: University of Houston System

CHANCELLOR Renu Khator DATE 08/17/2011

BOR – A-1
RESOLUTION IN APPRECIATION
CARROLL ROBERTSON RAY

WHEREAS, Carroll Robertson Ray was appointed to the University of Houston System Board of Regents on February 5, 2008 by Governor Rick Perry, serving with distinction until her term ended August 31, 2011; and,

WHEREAS, during her tenure Carroll Robertson Ray, with the great passion and sense of duty that flows from her family's exceptional legacy of service to the Board of Regents, fulfilled a wide variety of Board assignments, including:

- Ex Officio Member, Academic and Student Success Committee, 2010 to 2011
- Ex Officio Member, Audit and Compliance Committee, 2010 to 2011
- Ex Officio Member, Finance and Administration Committee, 2010 to 2011
- Ex Officio Member, Facilities, Construction and Master Planning Committee, 2010 to 2011
- Ex Officio Member, Endowment Management Committee, 2010 to 2011
- Member, Academic and Student Success Committee, 2008 to 2009
- Vice Chair, Facilities, Construction and Master Planning Committee, 2008 to 2009
- Vice Chair, Endowment Management Committee, 2009 to 2010
- Vice Chair, Finance and Administration Committee, 2009 to 2010
- Chair, Endowment Management Committee, 2008 to 2009
- Chair, Academic and Student Success Committee, 2009 to 2010
- Chair, Executive Committee, 2010 to 2011

WHEREAS, Carroll Robertson Ray's Board tenure culminated with her election as Chair of the Board of Regents, 2010 to 2011; and,

WHEREAS, during her tenure as Chair the University of Houston attained the coveted Tier One classification from the Carnegie Foundation for the Advancement of Teaching; and,

WHEREAS, Carroll Robertson Ray is a proud alumna of the University of Houston, where she earned her doctor of jurisprudence degree in 2002; and,

WHEREAS, Carroll Robertson Ray applied the knowledge gained at the UH Law Center University of Houston into a successful career as an attorney, most recently in her Of Counsel role with the prestigious firm of Andrews Kurth, LLP; and,

WHEREAS, Carroll Robertson Ray has served civic, philanthropic, and non-profit organizations throughout the community, including Secretary of the Cullen Trust for Performing Arts, Chairman of the Board of Trustees of the Houston Museum of Natural Science — the first woman to hold that post — founding member and President of The Children's Assessment Center, and board member of the Houston Ballet Foundation, among others,

NOW BE IT THEREFORE RESOLVED, that the Board of Regents of the University of Houston System issues this resolution in appreciation of Carroll Robertson Ray for her devoted and distinguished service to the University of Houston System.

Dated this seventeenth day of August, 2011.

Nelda Luce Blair,  
Vice Chair, University of Houston System Board of Regents

Renu Khator  
Chancellor, University of Houston System

Mica Mosbacher, Secretary

Jawis V. Hollingsworth, Regent

Nandita V Berry, Regent

Jacob M. Monte, Regent

Tillman J. Fertitta, Regent

Welcome W. Wilson, Sr., Regent

Jim P. Wise, Regent

Tamecia Glover Harris, Student Regent

08/17/2011
BOR – A-1.1.1
COMMITTEE:

ITEM: Resolution in Appreciation — Welcome W. Wilson, Sr.

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Approval is requested for a Resolution in Appreciation for Welcome W. Wilson, Sr., who was appointed to the Board of Regents in April 2006 and has served with exceptional distinction throughout his service to the University of Houston System.

SUPPORTING DOCUMENTATION: Resolution in Appreciation — Welcome W. Wilson, Sr.

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Approval

COMPONENT: University of Houston System

CHANCELLOR Renu Khator DATE 08/17/2011
RESOLUTION IN APPRECIATION

Welcome W. Wilson, Sr.

WHEREAS, Welcome W. Wilson, Sr. was appointed to the University of Houston System Board of Regents on April 13, 2006 by Governor Rick Perry, serving with distinction until his term ended August 31, 2011; and,

WHEREAS, during his tenure Welcome W. Wilson, Sr. diligently, wholeheartedly and with great passion and enthusiasm fulfilled a wide variety of Board assignments, including:

- Ex Officio Member, Academic and Student Success Committee, 2008 to 2009 and 2009 to 2010
- Ex Officio Member, Audit and Compliance Committee, 2008 to 2009 and 2009 to 2010
- Ex Officio Member, Finance and Administration Committee, 2008 to 2009 and 2009 to 2010
- Ex Officio Member, Facilities, Construction, and Master Planning Committee, 2008 to 2009 and 2009 to 2010
- Ex Officio Member, Endowment Management Committee, 2008 to 2009 and 2009 to 2010
- Member, External Affairs Committee, 2006 to 2007
- Member, Administration and Finance Committee, 2008 to 2009
- Member, Audit and Compliance Committee, 2010 to 2011
- Member, Facilities, Construction and Master Planning Committee, 2010 to 2011
- Member, Executive Committee, 2010 to 2011
- Vice Chair, University Advancement Committee, 2007 to 2008; 2008 to 2009; and
- Chair, Executive Committee, 2007 to 2008, 2008 to 2009, and 2009 to 2010; and

WHEREAS, Welcome W. Wilson, Sr. provided the institution with wise leadership and extraordinary vision as Chair of the Board of Regents for three consecutive one-year terms, from 2007 to 2010, during which time he played a key leadership role in, among other initiatives, bringing the institution the passage of Proposition 4 creating the National Research University Fund; and

WHEREAS, Welcome W. Wilson, Sr. as Immediate Past Chair, distinguished himself in the last year of his tenure on the Board of Regents, from 2010 to 2011, serving as Chairman of the UH Tier One public campaign and devoting countless hours in support of the institution’s legislative priorities during the critical 83rd Texas Legislature; and,

WHEREAS, during the last year of his tenure the University of Houston attained the coveted Tier One classification from the Carnegie Foundation for the Advancement of Teaching; and

WHEREAS, Welcome W. Wilson, Sr. is a proud alumnus of the University of Houston, where he earned his bachelor of business administration degree in 1949, receiving the C.T. Bauer College of Business “Distinguished Alumnus Award” in 1990 and the University of Houston “Distinguished Alumnus Award” in 1990; and,

WHEREAS, Welcome W. Wilson, Sr. having applied the knowledge gained at the University of Houston into a rewarding career as one of Houston’s most successful real estate developers, including his current chairmanship of GSL Welcome Group, LLC., and his past posts as chairman of two Texas banks and major stockholder of the Houston Astros, and,

WHEREAS, Welcome W. Wilson, Sr. has served his community and his nation through the decades in a wide variety of posts at the local, state, and national levels, ranging from his appointment as five-star Director of Civil and Defense Mobilization during the Kennedy and Johnson administrations to serving as Special Ambassador to Nicaragua, earning him the Arthur S. Flemming Award as one of Ten Outstanding Young Men in Federal Service, as well as serving on the Board of the Greater Houston Partnership and Chairman of its Higher Education Committee.

NOW BE IT THEREFORE RESOLVED, that the Board of Regents of the University of Houston System issues this resolution in appreciation of Welcome W. Wilson, Sr. for his devoted and distinguished service to the University of Houston System.

Dated this seventeenth day of August, 2011.

Carroll Robertson Ray
Chair, University of Houston System Board of Regents

Nelda Lupe Blatt, Vice Chair

Mica Maebach, Secretary

Nandita V. Berry, Regent

Tamecia Glover Harris, Student Regent

Renu Khator
Chancellor, University of Houston System

Jarvis V. Hollingsworth, Regent

Jacob M. Monty, Regent

Jim P. Wise, Regent

Tamecia Glover Harris, Student Regent

08/17/2011
BOR – B-1.1.1
Resolution in Appreciation — Jim P. Wise

Approval is requested for a Resolution in Appreciation for Jim P. Wise, who was appointed to the Board of Regents in April 2006 and has served with exceptional distinction throughout his service to the University of Houston System.

Resolution in Appreciation — Jim P. Wise

Approval

University of Houston System

Renu Khator

8/17/2011

BOR-C-1
RESOLUTION IN APPRECIATION

JIM P. WISE

WHEREAS, Jim P. Wise was appointed to the University of Houston System Board of Regents on April 13, 2006 by Governor Rick Perry, serving with distinction until his term ended August 31, 2011; and,

WHEREAS, during his tenure Jim P. Wise diligently and wholeheartedly fulfilled a wide variety of Board assignments, including:

- Member, Audit Committee, 2006 to 2007 and 2007 to 2008
- Member, Facilities, Construction, and Master Planning Committee, 2008 to 2009, and 2009 to 2010
- Member, Endowment Management Committee, 2009 to 2010
- Chair, Administration and Strategic Planning Committee, 2006 to 2007 and 2007 to 2008
- Chair, Strategic Planning Committee, 2007 to 2008
- Chair, Academic and Student Success Committee, 2008 to 2009
- Chair, Finance and Administration Committee, 2010 to 2011
- Secretary, Executive Committee, 2007 to 2008 and 2008 to 2009
- Vice Chair, Executive Committee, 2009 to 2010
- Secretary, Board of Regents, 2007 to 2008 and 2008 to 2009; and,

WHEREAS, Jim P. Wise further served the institution as Vice Chair of the Board of Regents from 2009 to 2010, his term was characterized by his personal traits of honesty, integrity, and forthrightness; and,

WHEREAS, during the last year of his tenure the University of Houston attained the coveted Tier One classification from the Carnegie Foundation for the Advancement of Teaching; and,

WHEREAS, Jim P. Wise is a proud alumnus of the University of Houston, where he earned his bachelor of business administration degree with a major in accounting in 1966; and,

WHEREAS, Jim P. Wise serves on the C.T. Bauer College of Business Dean’s Executive Advisory Board, and has been honored by his college with the “Distinguished Alumnus Award” in 2001; and,

WHEREAS, Jim P. Wise, having applied the knowledge gained at the University of Houston into a successful career with the city’s most prestigious equity funds and energy companies; and,

WHEREAS, Jim P. Wise serves his community as a board member in a variety of civic and professional organizations, including being a life member of the Houston Metropolitan Area Advisory Board,

NOW BE IT THEREFORE RESOLVED, that the Board of Regents of the University of Houston System issues this resolution in appreciation of Jim P. Wise for his devoted and distinguished service to the University of Houston System.

Dated this seventeenth day of August, 2011.

Carroll Robertson Ray
Chair, University of Houston System Board of Regents

Nelda Luce Blair, Vice Chair

Mica Mostacher, Secretary

Nandita V. Berry, Regent

Tilman J. Fertitta, Regent

Renu Khator
Chancellor, University of Houston System

Jarvis V. Hollingsworth, Regent

Jacob M. Monty, Regent

Welcome W. Wilson, Sr., Regent

Tamela Glover Harris, Student Regent

08/17/2011
BOR – C-1.1.1
COMMITTEE:

ITEM: Approval of the Current Board of Regents Planner for FY2012

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Approval is requested for the revised Board of Regents Planner for FY2012 which sets forth items that require action by the Board or reports from management to address the provisions of the Statutes, Board Bylaws and Policies, or other requests of the Board. The planner schedules the action or report and designates the administrative responsibility for each item. The planner is presented each August for approval by the Board. The Board of Regents office is responsible for assuring the items are presented to the Board at the appropriate meetings.

SUPPORTING DOCUMENTATION: FY2012 Board of Regents Planner

FISCAL NOTE:

RECOMMENDATION/ACTION REQUESTED: Approval

COMPONENT: University of Houston System

08/17/2011
BOR – D-1
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<td>01.03</td>
<td>Review of all Board Policies</td>
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<td>Every Six Years – Next approval - August 2017</td>
<td>August</td>
<td>Board Office General Counsel</td>
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<td>11.01</td>
<td>Litigation Status Report</td>
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<td>Each Meeting</td>
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<td>Operating Budgets and Organization Plans for the System administration and each component university</td>
<td>X</td>
<td>Annually</td>
<td>May</td>
<td>Academic &amp; Student Success Finance &amp; Administration</td>
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<td>Operating and Budget Plans of the System administration and each component university and the system performance for that year.</td>
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<td>Annually</td>
<td>May</td>
<td>Academic &amp; Student Success Finance &amp; Administration</td>
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<td>Intercollegiate Athletics that includes academic achievement of student athletes, compliance with NCAA rules, and financial status of the program</td>
<td>X</td>
<td>Annually</td>
<td>November</td>
<td>Academic &amp; Student Success Athletic Director</td>
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<td>32.06 / #27 on Audit &amp; Compliance Checklist</td>
<td>Report on Compliance of Private Support Organizations and Foundations</td>
<td>X</td>
<td>Annually</td>
<td>May</td>
<td>Endowment Management Audit and Compliance</td>
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<td>Long-Range Audit Plan and an Annual Audit Plan</td>
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<td>Annually</td>
<td>August</td>
<td>Chief Audit Executive</td>
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<td>53.01</td>
<td>The SWAAC Committee shall be appointed by the Board</td>
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<td>November</td>
<td>Finance and Administration</td>
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<td>Review and Approve all Tuition and Fee Charges as allowed by law – UH System</td>
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<td>February</td>
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<td>Bylaws</td>
<td>Review Performance of the Chancellor and Chief Audit Executive and Performance Reports on the Executive Officers presented by the Chancellor.</td>
<td>X</td>
<td></td>
<td>Annually</td>
<td>May</td>
<td>Executive Committee</td>
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<td>TX Gov. Code 2102.011</td>
<td>Chief Audit Executive meets with the board privately exclusive of management</td>
<td>X</td>
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<td>Chief Audit Executive</td>
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<td>TX Ed. Code 44.008(d)</td>
<td>Approve Charter School Annual Financial Statements</td>
<td>X</td>
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<td>November</td>
<td>Chief Audit Executive</td>
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<td>Campus Safety and Emergency Management Report</td>
<td>X</td>
<td></td>
<td>Annually</td>
<td>November</td>
<td>Facilities, Const. &amp; Master Planning</td>
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<td>Review and Approve Board of Regents Planner</td>
<td>X</td>
<td></td>
<td>Annually</td>
<td>August</td>
<td>Board Office</td>
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COMMITTEE:

ITEM: Proposed Calendar of Dates for the FY2011-FY2012 UH System Board of Regents Committee/Board Meetings

DATE PREVIOUSLY SUBMITTED:

SUMMARY:

Board approval is requested for the proposed calendar of dates for the UH System Board of Regents committee/board meetings for FY2011-FY2012 academic year.

The proposed calendar has the Academic and Student Success, the Facilities, Construction and Master Planning, and the Endowment Management Committee meetings scheduled to meet on a Tuesday, with the Finance and Administration and the Audit and Compliance Committee meetings scheduled for Wednesday, followed by the Board of Regents meeting later that day.

The proposed committee/board meetings will be held in the months of November, February, May, and August, with the UH System Board of Regents Retreat proposed in the month of October. Special called committee/board meetings are scheduled as needed.

SUPPORTING DOCUMENTATION: Proposed Calendar of Dates for the FY2011-FY2012 UH System Board of Regents Committee/Board Meetings

FISCAL NOTE:

RECOMMENDATION/ ACTION REQUESTED: Approval is requested for the Proposed Calendar of Dates for the UH System Board of Regents Committee/Board meetings.

COMPONENT: University of Houston System

CHANCELLOR Renu Khator DATE 08/17/2011
UNIVERSITY OF HOUSTON SYSTEM
BOARD OF REGENTS FY2011-2012 CALENDAR
LIST OF PROPOSED MEETING DATES

BOARD OF REGENTS RETREAT – October, 2011
Date, Time and Location – TBD

*****

COMMITTEE MEETING DATES – November 15-16, 2011
Tuesday – November 15, 2011 – Hilton University of Houston – Room - TBD
  8:30 a.m. – 10:30 a.m. – Academic and Student Success Committee
  10:45 a.m. – 12:30 p.m. – Facilities, Construction and Master Planning Committee
  1:30 p.m. – 3:30 p.m. – Endowment Management Committee

Wednesday – November 16, 2011 – Hilton University of Houston – Room - TBD
  8:30 a.m. – 10:00 a.m. – Audit and Compliance Committee
  10:15 a.m. – 12:15 p.m. – Finance and Administration Committee

BOARD OF REGENTS MEETING – November 16, 2011
Wednesday – November 16, 2011 – Hilton University of Houston – Room - TBD
  12:45 p.m. – 4:00 p.m. – University of Houston

*****

COMMITTEE MEETING DATES – February 14-15, 2012
Tuesday – February 14, 2012 – Hilton University of Houston – Room - TBD
  8:30 a.m. – 10:30 a.m. – Academic and Student Success Committee
  10:45 a.m. – 12:30 p.m. – Facilities, Construction and Master Planning Committee
  1:30 p.m. – 3:30 p.m. – Endowment Management Committee

Wednesday – February 15, 2012 – University of Houston-Clear Lake – Room - TBD
  8:30 a.m. – 10:00 a.m. – Audit and Compliance Committee
  10:15 a.m. – 12:15 p.m. – Finance and Administration Committee

BOARD OF REGENTS MEETING – February 15, 2012
Wednesday – February 15, 2012 – University of Houston-Clear Lake – Room - TBD
  12:45 p.m. – 4:00 p.m. – University of Houston

*****

COMMITTEE MEETING DATES – May 15-16, 2012
Tuesday – May 15, 2012 – Hilton University of Houston – Room - TBD
  8:30 a.m. – 10:30 a.m. – Academic and Student Success Committee
  10:45 a.m. – 12:30 p.m. – Facilities, Construction and Master Planning Committee
  1:30 p.m. – 3:30 p.m. – Endowment Management Committee

08/17/2011
BOR – E-1.1.1
COMMITTEE MEETING DATES – May 15-16, 2012 (cont’d)
Wednesday – May 16, 2012 – Hilton University of Houston – Room - TBD
8:30 a.m. – 10:00 a.m. – Audit and Compliance Committee
10:15 a.m. – 12:15 p.m. – Finance and Administration Committee

BOARD OF REGENTS MEETING – May 16, 2012
Wednesday – May 16, 2012 – Hilton University of Houston – Room - TBD
12:45 p.m. – 4:00 p.m. – University of Houston

*****

COMMITTEE MEETING DATES – August 14-15, 2012
Tuesday – August 14, 2012 – Hilton University of Houston – Room - TBD
8:30 a.m. – 10:30 a.m. – Academic and Student Success Committee
10:45 a.m. – 12:30 p.m. – Facilities, Construction and Master Planning Committee
1:30 p.m. – 3:30 p.m. – Endowment Management Committee

Wednesday – August 15, 2012 – Hilton University of Houston – Room TBD
8:30 a.m. – 10:00 a.m. – Audit and Compliance Committee
10:15 a.m. – 12:15 p.m. – Finance and Administration Committee

BOARD OF REGENTS MEETING – August 15, 2012
Wednesday – August 15, 2012 – Hilton University of Houston – Room – TBD
12:45 p.m. – 4:00 p.m. – University of Houston

**ALL DATES AND TIMES ARE SUBJECT TO CHANGE**
Dr. Monica McHenry, President of the Faculty Senate for the University of Houston, will provide the Board with information regarding the Faculty’s Perspective on the Seven Breakthrough Solutions.
Libby Barlow, Executive Director, Academic and Institutional Information, will give a presentation to the Board on Historically Underutilized Businesses ("HUB") expenditures at the University of Houston.
HUB Expenditures

Presentation to
University of Houston
Board Of Regents
August 17, 2011
Dr. Libby Barlow, Institutional Research
Statewide HUB program for minority and women-owned businesses

- Managed by Office of Comptroller of Public Accounts (CPA)
- State agencies and institutions of higher education report expenditures to CPA
- CPA produces report of contracts awarded to HUBs
CPA HUB report elements

- Total $ amount of contracts awarded to HUB and non-HUB vendors
- Percent of total expenditures awarded to HUB and non-HUB vendors
- Certified HUB vendor group
- Vendor name
CPA HUB report elements

- Certified HUB Vendor Group
  1. Asian Pacific
  2. Black
  3. Hispanic
  4. Native American
  5. Women

- Procurement category
  1. Heavy Construction
  2. Building Construction
  3. Special Trade
  4. Professional Services
  5. Other Services
  6. Commodity Purchasing
CPA tracks percent of HUB expenditures awarded to certified HUB groups

All Texas State Agencies Compared to UH

- Texas %
- UH %

Categories: Asian Pacific, Black, Hispanic, Native American, Women

Percentages: 0.00%, 10.00%, 20.00%, 30.00%, 40.00%, 50.00%, 60.00%
CPA set annual procurement goals in 1994 for percent of total expenditures spent within each HUB category

<table>
<thead>
<tr>
<th>Procurement Category</th>
<th>Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heavy Construction</td>
<td>11.9%</td>
</tr>
<tr>
<td>Building Construction</td>
<td>26.1%</td>
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<tr>
<td>Special Trade</td>
<td>57.2%</td>
</tr>
<tr>
<td>Professional Services</td>
<td>20.0%</td>
</tr>
<tr>
<td>Other Services</td>
<td>33.0%</td>
</tr>
<tr>
<td>Commodities Contracts</td>
<td>12.6%</td>
</tr>
</tbody>
</table>

New goals will be set for FY 2012
UH FY 2010 HUB Expenditures by Category

- Building Construction: $12,783,085
- Special Trade: $4,017,803
- Professional Services: $429,380
- Other Services: $8,460,695
- Commodities Contracts: $20,094,012
UH outperformed state goals in 3 of 5 procurement categories

FY 2010 Percent of Total Expenditures Spent with HUBs
State Goals and UH Performance

* Category not applicable for higher education agencies
Percent of total expenditures spent with HUBs
FY 2010

Building Construction

- 26.1% Procurement Goal
- 31.1% UH

- Buildings and building improvements
- Facilities and other improvements
- Infrastructure and infrastructure improvements
- Landscaping
- Parking lots/driveways/parking barriers
- Fencing and gates
- Recreation areas and athletic fields
- Paths and trails
- Stadiums
- Plazas and pavilions
- Retaining walls
Percent of total expenditures spent with HUBs
FY 2010

- Special Trade

- Procurement Goal
  - 57.2%
  - 29.6%

- UH

- Plumbing or electrical repairs
- Adding, removing, or moving walls
- Cleaning or other periodic maintenance
- Interior decoration
- Exterior decoration
- Septic Systems
- Maintenance-type renovation
- Replacement of a part or component of a building
- Fencing and gates
- Landscaping

- Fountains
- Plazas and Pavilions
- Parking lots/driveways/parking barriers
- Outside sprinkler systems
- Stadiums
- Golf Courses
- Paths and trails
- Recreation areas and athletic fields
- Demolition of Existing Buildings
- Building water wells
Percent of total expenditures spent with HUBs
FY 2010

- Surveying services
- Consulting services for information technology
- Information technology services
- Investment counseling services
- Psychiatric services
- Psychologist
- Physical therapist
- Speech therapist
- Worker's compensation medical review
- Drug testing and pre-employment
- Other medical services
Percent of total expenditures spent with HUBs
FY 2010

- Insurance premiums
- Employee bonds
- Consultant services
- Investment Counseling Services
- Educational/training services
- Race Track Officials
- Legal services
- Temporary employment agencies

- Cleaning services
- Maintenance and repair - aircraft
- Hazardous waste disposal services
- Freight/delivery service
- Waste disposal
- Publications
- Reproduction and printing services
- Veterinary Services
Percent of total expenditures spent with HUBs
FY 2010

Commodity Purchasing

- Consumables (toner cartridges, aluminum foil, plastic cutlery, coffee filters, envelopes, soap, light bulbs, workbooks, paper products, pens, pencils)
- Fuels and lubricants
- Promotional items
- Chemicals and gases
- Medical supplies
- Plants
- Furnishings
- Fabrics and linens
- Boats
- Cars
- Computer equipment
- Aircraft
- Animals
- Raw material
- Parts – furnishings and equipment
Approval is requested of the proposed revisions to BOR policies: 11.01; 21.01; 23.05; 53.01; 53.03; 55.01; 55.02; and 55.05 and changes to BOR bylaws 4.2

SUMMARY:

Pursuant to UH System Board of Regents Policy 01.03, every six years a complete review of Board policies is required. To conform to this policy, an Ad Hoc Board Policy Committee was formed and the following proposed revisions are recommended for review and approval:

1. Section II — Executive
   11.01 - Litigation
2. Section III — Academic and Student Success
   21.01 — Planning
   23.05 — Student Travel Policy
3. Section VI — Administration and Finance
   53.01 — Works of Art
   53.03 — Selection and Approval of Professional Services and General Contractors
   55.01 — Contracts
   55.02 — Competitive Bidding
   55.05 — Write-offs of Uncollectible Accounts

Changes to BOR Bylaws 4.2 — Election of officers will also be proposed for review and approval.

SUPPORTING DOCUMENTATION: Red-lined version of Board Bylaw Revisions and Red-lined version of UH System Board Policies

FISCAL NOTE:

RECOMMENDATION/ACTION REQUESTED: Approval

COMPONENT: University of Houston System

CHANCELLOR: Renu Khator  DATE: 08/17/11
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<td>11.01</td>
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<td>Executive Miscellaneous</td>
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SECTION II – Executive

11 LEGAL

11.01 Litigation

The Board will be promptly and thoroughly informed by the General Counsel with regard to all lawsuits filed against the System or a component university and any employees or agents for the System or component universities in their official capacities. The General Counsel is responsible for providing the Board with litigation status reports at each Board meeting, and for keeping the Board fully informed of the outcome of all litigation. (11/18/04)

Related Statutes, Policies, or Requirements

SAM 01.D.01 – Requests for Services from the Office of the General Counsel

19 EXECUTIVE MISCELLANEOUS
## SECTION III – ACADEMIC AND STUDENT SUCCESS

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SECTION III — Academic and Student Success

21 ACADEMIC AFFAIRS

21.01 Planning

The Board requires the System administration and component universities to employ Systematic processes to assess needs, identify goals, develop operating plans and budgets, evaluate performance outcomes, and report performance results.

21.01.1 Responsibility

A. Annually, the Board approves fiscal year operating budgets and organization plans for the System administration and each component University.

B. The Chancellor is responsible for developing the planning and budgeting process employed by the System. The process will provide for review of all plans by the appropriate Board committee prior to Board action.

C. The Chancellor is responsible for preparing and implementing System plans as approved by the Board, for ensuring that the results are compatible with the mission and goals approved for each component University, and for reporting System performance results to the Board.

D. Under the direction of the Chancellor, the Presidents are responsible for preparing and implementing plans for their component universities as approved by the Board, ensuring that the results are compatible with the missions and goals approved for their component universities, and reporting their component University results to the Board.

E. The Board shall approve new degree plans leading to customary degrees offered in American universities, of the first rank, subject to the guidelines and, where necessary, approval of the Texas Higher Education Coordinating Board. The Chancellor shall submit appropriate business plans to support the proposed degree plans. The business plan shall include financial analysis, analysis of student demand and need for the degree in the community.

21.01.2 Reporting Requirements

Annually, the Chancellor shall report to the Board the operating and budget plans of the System administration and each component University for the ensuing fiscal year, and the System performance for that year. (11/18/04)

Related Statutes, Policies, or Requirements

General Appropriations Act, Art. III, § 6
21.02 Honorary Degrees

Honorary degrees may be awarded by the Board at a component university's commencement or on other special occasions for the purpose of recognizing outstanding achievements. All honorary degrees must be approved by the Board upon recommendation of the Chancellor.

A candidate nominated to receive an honorary degree should have national or international distinction or have exceptionally strong visibility within the State of Texas.

The candidate should have an association with the System, or there should be an established program at the component university in the recipient’s major area of expertise. Employees and regents of the System and officials currently holding elective offices are ineligible to receive honorary degrees; however, persons of national prominence in their field are exempted from this restriction. (11/18/04)

21.03 Academic Freedom

To establish and clarify the rights and responsibilities of the faculty pursuant to academic freedom, the Board adopts the following policy:

21.03.1 The faculty member is entitled to full freedom in research and in the publication of the results, subject to the adequate performance of the faculty member’s other academic duties; but research for pecuniary return should be based upon an understanding with the authorities of the component university.

21.03.2 The faculty member is entitled to freedom in the classroom in discussing the subject matter, but the faculty member should be careful not to introduce into the teaching controversial matter which has no close relation to the subject.
23.04 Student Financial Aid

The Board is committed to the concept that all qualified students should be afforded educational opportunities regardless of individual financial circumstances.

Within the constraints of its resources, the System shall take all steps necessary to maximize the amount of available student financial aid, and shall take steps to fully utilize those funds to assist students whose education would not otherwise be possible. (11/18/04)

Related Statutes, Policies, or Requirements


Texas Education Code, Chapter 52 – Student Loan Program
Texas Education Code, Chapter 53B – Higher Education Loan Authorities
Texas Education Code, Chapter 56 – Student Financial Assistance
Texas Education Code, Chapter 57 – Guaranteed Student Loans

System Administrative Memoranda (SAMs)
06.A.12 – Administration of Scholarships and Financial Aid

23.05 Student Travel Policy

The Board delegates to the Chancellor the authority to develop, finalize, and implement a student travel policy for the System and its components, in compliance with Section 51.94950 of the Texas Education Code. The policy shall address student travel that is undertaken by one or more students presently enrolled in the institution to reach an activity or event located more than 25 miles from the institution, that is organized and sponsored by the institution, and that is: 1. travel funded by the institution and using vehicles owned or leased by the institution; or 2. travel required by a student organization registered at the institution.

The Chancellor shall ensure that any student travel policy, including any amendments thereto, complies with applicable law, including Section 51.950 of the Education Code. Any student travel policy or amendment thereto is effective upon approval by the Chancellor and shall be published as a part of the Student Handbook. (11/18/04-08/17/11)
SECTION VI – ADMINISTRATION AND FINANCE

51 Real Estate
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52 Property
  52.01 Abandoned and Unclaimed Personal Property

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  53.01 Works of Art
    53.01.1 Acquisition of Works of Art
  53.02 Building Identification Plaques
    53.02.1 Contents
    53.02.2 Definitions
    53.02.3 Funding
  53.03 Selection and Approval of Professional Services and General Contractors
  53.04 Approval of Construction Projects

54 Grounds

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56 Fees
  56.01 Concurrent Enrollment Fees
  56.02 Fees for Continuing Education Courses
  56.03 Approval of Tuition, Fees and Other Charges
    56.03.1 Board Approval

08/17/2011
BOR – H-3.1.1
SECTION VI – ADMINISTRATION AND FINANCE

51 REAL ESTATE

51.01 Acquisition and Sale of Property
The acquisition and sale of all real property shall be approved by the Board in compliance with Policy 55.01 with at least two (2) appraisals in demonstrating fair market value. Real property with a fair market value of less than $50,000 may be demonstrated by approved documentation other than by appraisal. All acquisitions and sales of real property shall be by an approved purchase agreement and special warranty deed in transferring title, including appropriate qualifying language. All documentation must be reviewed and approved by the Office of the General Counsel. (05/17/07)

Related Statutes, Policies, or Requirements
Texas Education Code § 55.11—General Authority
Texas Education Code § 111.39—Acquisition and Disposal of Land
System Administrative Memoranda (SAMs)
01.C.08—Receiving Gifts of Real Property
03.A.05—Contract Administration
03.F.05—Conveyance of Real Property

52 PROPERTY

52.01 Abandoned and Unclaimed Personal Property
The Board delegates to the Chancellor the authority and responsibility for the safekeeping and appropriate disposition of unclaimed or abandoned personal property found on University grounds. Disposition of abandoned or unclaimed property shall be in accordance with state statutes. (05/17/07)

Related Statutes, Policies, or Requirements
Texas Education Code § 51.213—Abandoned Personal Property
53 FACILITIES

53.01 Works of Art

The System-wide Art Acquisition Committee (SWAAC) shall provide art acquisition services for the administration. The Committee shall be appointed by the Board according to guidelines noted in the SWAAC procedures manual.

53.01.1 Acquisition of Works of Art

This policy pertains to construction projects in excess of $1,000,000 involving construction of a new building or the addition of square footage to an existing building. One percent of the construction costs shall be allocated for the acquisition of art for the project. Of the 1% allocated, up to 10% may be set aside for the operating budget supporting the System-wide Art Acquisition Committee (SWAAC). The determination will be made by the Executive Vice Chancellor for Administration and Finance in consultation with the Chancellor. The SWAAC Procedures Manual shall be submitted to the Board for approval.

Any college, foundation, corporation, or other entity wishing to contribute to new facilities, donate, participate in a joint venture, or otherwise give or create new facilities with any component of the System must include, as part of the project cost, at least one percent of the construction cost for the commissioning, selection, and installation of art. The Board delegates to the Chancellor or his/her designee the authority to identify and acquire art. All works of art acquired through the process must be recommended by the SWAAC in accordance with the SWAAC Procedures Manual and approved by the Chancellor. (05/17/07-8/17/11)

Related Statutes, Policies, or Requirements

Texas Government Code § 444.029 — Expenditures for Fine Arts Projects on Certain Public Construction Projects

53.02 Building Identification Plaques

08/17/2011
BOR – H-3.1.4
Building identification plaques shall be placed in all new buildings constructed by the System.

53.02.1 Contents

Building identification plaques shall contain:

A. the name of Texas governor at the time of approval of the project;
B. the names of Chair, Vice Chair, Secretary and other members of the Board at the time of approval of the project;
C. the names of the Chancellor and component University President at the time of approval of the project;
D. the names of architects;
E. the name of general contractor;
F. the year project is approved; and
G. the year building construction is completed.

53.02.2 Definitions

The “time of approval” date shall be the date the Board approves the project program, budget, schedule, and schematic design.

The “completion” date shall be the date established by the certificate of substantial completion as issued by the architect and approved by the Executive Vice Chancellor for Administration and Finance.

53.02.3 Funding

Building identification plaques will be paid for from project funds. (05/17/07)

53.03 Selection and Approval of Professional Services and General Contractors

53.03.1 The Board delegates to the Chancellor the authority to select and approve the services of architects, engineers, and general contractors for construction and renovation projects requiring Board approval and to execute appropriate contracts to secure the aforementioned services.

Upon presentation of the proposed projects to the Board, the Executive Vice Chancellor for Administration and Finance shall provide a summary of the project, which shall include a description of the procurement process to be used.

Two weeks prior to the preparation of a selection list for an architect or engineering firm, the Vice Chancellor for administration and finance will provide the Board with
information about the proposed project. This information will include qualification criteria to be used in evaluating firms for the designated project, a timeline for the selection process and other information as is appropriate in the circumstances. Board members may provide suggestions about firms they believe should be considered. The Vice Chancellor for administration and finance will provide the Chancellor with a recommended firm.

53.03.2 The Board delegates to the Chancellor, or his/her designee, the authority to select, approve, and execute appropriate contracts to secure the following services:

A. Architects, engineers, and general contractors for projects not requiring Board approval; and,

B. Professional services firms relating to testing, real estate, environmental issues, and HVAC Systems, for all construction and renovation projects, and professional services for other projects as authorized by the Chancellor not requiring Board approval. (05/17/07)

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Related Statutes, Policies, or Requirements

Texas Education Code §§ 51.776 – 51.785 – Construction and Repair of Permanent Improvements

Texas Government Code § 2254.0034 & 2254-004 – Contract for Professional Services of Architect, Engineer, or Surveyor

System Administrative Memoranda (SAMs)
01.B.03 – Selection of Architectural and Engineering Firms
01.B.05 – Signature Authority for Construction Contracts
01.B.07 – Facilities Acquisition, Construction or Renovation

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53.04 Approval of Construction Projects

The Executive Vice Chancellor for Administration and Finance shall present for approval to the Board projects for new construction or major repair and rehabilitation of buildings and facilities when the total cost is equal to or greater than the submittal threshold requiring Texas Higher Education Coordinating Board ("THECB") action. In such cases, the Board will be presented with the project, budget, schedule and, if applicable, a program and schematic design. Once a project is approved by The Higher Education Coordinating Board, the Board delegates to the Chancellor the authority to negotiate and execute all appropriate contracts and easements required to accomplish the project provided it is within the approved scope and budget of the project. In the event project budget increases do not exceed an...
aggregate amount of $1,000,000, additional approval is not required by the Board.

Related Statutes, Policies, or Requirements

Texas Constitution Art. VII, § 17 – Colleges and Universities: Appropriations and Funding

Texas Education Code §§ 51.776 – 51.785 – Construction and Repair of Permanent Improvements

Texas Education Code §§ 62.001 – 62.027 – Constitutional and Statutory Funds to Support Institutions of Higher Education

System Administrative Memoranda (SAMs)

01.B.05 – Signature Authority for Construction Contracts

01.B.07 – Facilities Acquisition, Construction or Renovation

54 GROUNDS

55 FINANCE

55.01 Contracts

No person has the authority to bind the System contractually except in accordance with this policy.

55.01.1 Board Approval

In an open meeting, the Board must approve:

A. contracts for the purchase, gift or acquisition of real property;

B. contracts for the sale of real property or conveyance of any interest in real property, expressly excluded are utility easements to serve one or more component universities.
C. as lessee, all real estate leases, lease renewals and extensions, if the obligation of the lease is equal to or greater than $1,000,000, the submittal threshold requiring THECB action.

D. Oil and gas leases with an expected bonus exceeding $100,000.

D-E. banking agreements; (02/16/10)

E-F. any single procurement contract for any equipment, goods, and services, not specified above, which is expected to exceed $1,000,000 in a fiscal year with exception of contracts that fall within and are a part of approved construction projects set forth in Section 55.04 or investment agreements; (02/16/10)

F-G. any extension, modification, or renewal of an existing contract which is expected to exceed $1,000,000 in a fiscal year with the exception of investment agreements; (02/16/10)

G-H. any series of contracts which are initiated in the same department for the same goods or services with the same party within a fiscal year, that, if combined in one contract, would require Board approval or reporting;

H-I. any other contract the Board might designate as having significant importance to require Board approval.

55.01.2 Delegation of Authority for Contracts Requiring Board Approval

A. Delegation to the Chancellor. The Chancellor shall execute all contracts approved by the Board.

B. Delegation by the Chancellor. All delegations of contracting authority shall be in writing, approved by the Chancellor, and filed with the Board. No employee, officer, or agent of the System shall have the authority to execute contracts unless delegated such authority pursuant to this policy.

C. General Counsel Review. All contracts must be reviewed and approved as to form by the Office of the General Counsel before execution. The Office of the General Counsel may approve uniform contracts which, thereafter, may be used without additional review and approval by the Office of General Counsel, if no changes or alterations have been made to the contract or those that require Board approval as long as the standard form of agreement has not been altered. Standard form contracts requiring Board or Chancellor approval must be reviewed by the Office of General Counsel.

D. Construction Contract Review. The System Office of Facilities and Planning shall review all plans, specifications and bidding documents for compliance with applicable state laws governing their areas of responsibility prior to being released for bidding. The purchasing department of the component University shall review the
bidding documents for compliance with University and System policies as well as state law.

E. Emergencies. In the event of a bona fide emergency, as declared by the chairman of the Board upon consultation with the Chancellor, the Chancellor may enter into a contract that would otherwise require Board approval under this policy, provided that the Chancellor submits such contract to the Board for ratification at the next regular meeting of the Board.

55.01.3 Delegation of Authority for Contracts not Requiring Board Approval

A. Delegation to the Chancellor. The Chancellor may negotiate, execute, and administer all contracts and related necessary legal documents and instruments not requiring Board approval according to section 55.01.

B. Delegation by the Chancellor. The Chancellor may delegate the authority granted by section 55.01.3A to System administration officers or the Presidents, according to their areas of responsibility. The Chancellor may approve the further delegation of such authority by System administrative officers or the Presidents as is appropriate.

C. General Counsel Review. All contracts must be reviewed and approved as to form by the Office of General Counsel before execution. The Office of General Counsel may approve uniform contracts which, thereafter, may be used without additional review and approval by the Office of General Counsel, if no changes or alterations have been made to the contracts as long as the standard form of agreement has not been altered.

D. Construction Contract Review. When total project costs of a new construction and/or renovation project are $300,000 or less, each component shall ensure that all plans, specifications, and bidding documents are reviewed for compliance with applicable state laws prior to being released for bidding. When total project costs are greater than $300,000, the System’s office of Facilities Planning and Construction shall manage such projects, including review of all plans, specifications and bidding documents for compliance with applicable state laws prior to being released for bidding.

55.01.4 Reporting to the Board

Following the end of each fiscal year, a report shall be submitted to the Board listing all professional service or consulting contracts where total compensation from System-wide sources to a single entity exceeded $250,000 during the fiscal year.

A. All professional service or consulting contracts awarded during the fiscal year where the total compensation from System-wide sources to a single entity is expected to exceed $250,000, and

B. All construction, equipment, goods and service contracts awarded during the fiscal year (including any amendments) where total compensation from System-wide sources to a single entity is expected to exceed $1,000,000.
The report shall indicate the procurement method utilized and be subject to the Department of Internal Auditing’s review for compliance with the applicable laws and UHS policies. Investment agreements are not included in this reporting requirement (08/17/11)

Related Statutes, Policies, or Requirements

Texas Education Code §§ 51.776 – 51.785 – Construction and Repair of Permanent Improvements
Texas Education Code § 111.34 – Contracts


System Administrative Memoranda (SAMs)
01.B.05 – Signature Authority for Construction Contracts
01.B.07 – Facilities Acquisition, Construction or Renovation
03.A.05 – Contract Administration

55.02 Competitive Bidding

The purpose of this policy is to achieve efficiency in the expenditure of System funds for goods and services, to prevent favoritism or the appearance of favoritism, to secure the best goods and services at the lowest practical cost/best value, and to stimulate competition.

55.02.1 Applicability

A. This policy applies to all contracts that require the approval of the Board under Board Policy 55.01.

B. This policy shall not be construed as enlarging or restricting the competitive bidding requirements imposed by state or federal law or administrative rule, regulation, or order.

C. This policy shall not apply to any situation where the service or product to be contracted for is unique and/or is available from only one source or where procurement is allowed without competitive bidding standards as allowed by law.

55.02.2 Competitive Bidding Required

A. The Board will only enter into a contract when the contracting party was selected pursuant to a competitive bidding process designed to fulfill the purposes of this policy except when otherwise prohibited by law.
C. This policy shall not apply to any situation where the service or product to be contracted for is unique and/or is available from only one source or where procurement is allowed without competitive bidding standards as allowed by law.

55.02.2 Competitive Bidding Required

A. The Board will only enter into a contract when the contracting party was selected pursuant to a competitive bidding process designed to fulfill the purposes of this policy except when otherwise prohibited by law.

B. This policy does not mandate any particular form of bidding process for every contract. However, any such process must meet the following minimum requirements:

1. when the subject matter warrants, the process should permit an reasonably objective analysis of bidding responses;

2. the process should provide enough advance information through invitations to bid or requests for proposals so as to invite bids from as many eligible sources as practicable;

3. when appropriate, based on subject matter, the process should invite creativity in the delivery of goods or services

4. the process should recognize qualitative as well as quantitative comparisons of responses or proposals; and

5. no evaluation or comparison of bids should be conducted until the time has expired for receiving all bids or requests for proposals.

C. The Board shall have the authority to reject non-responsive bids or proposals, or to reject all bids or proposals. However, the Board may, in its sole discretion, waive deficiencies in bidding that do not have a material impact on the overall fairness of the process.

D. The Board is not obligated to contract with the lowest bidder, but rather with the most appropriate bidder given the totality of the System’s needs.

(05/17/07)

Related Statutes, Policies, or Requirements

Texas Education Code §§ 51.778 — Competitive Bidding on Contracts
Texas Education Code §§ 51.779 — Evaluation of Bids and Proposals for Construction Services
Texas Education Code §§ 51.9335 — Acquisition of Goods and Services
Texas Education Code §§ 111.34 — Contracts

08/17/2011
BOR – H-3.1.11
A. Each component university and the designated person for the System administration will report annually to the Chancellor or his/her designee on purchases and contracts with HUB.

B. Each March and September the Chancellor or his/her designee shall report on the purchases and contracts awarded to HUB and all non-treasury funds spent with HUB for the previous six month period to the General Services Commission as required by the Texas Government Code.

C. Each component university and the System administration will include in its strategic plan, a plan for increasing the use of HUB in purchasing and construction contracting as required by the TX Comptroller’s Office. (05/17/07)

Related Statutes, Policies, or Requirements

Texas Government Code, Chapter 2161 – Historically Underutilized Businesses
34 Texas Administrative Code, Chapter 20 – Historically Underutilized Business Program

System Administrative Memoranda (SAMs)
03.A.05 – Contract Administration
03.B.01 – Purchasing Guidelines
03.B.02 – Contracting with Historically Underutilized Businesses (HUBs)

55.05 Reduction in Asset Valuations Write-Offs of Uncollectible Accounts

All reductions in asset valuations on the accounting records of the University of Houston System, including but not limited to accounts receivable, notes receivable, inventory, and capital assets, must be approved by the Board of Regents. (05/17/07)

All write-offs of uncollectible accounts on the accounting records of the University of Houston System must be approved by the Board of Regents.

Related Statutes, Policies, or Requirements

Texas Government Code § 403.031 – General Accounting Duties
Texas Comptroller Fiscal Policies & Procedures C.001 – Accounting for Uncollectible Accounts

55.06 Higher Education Assistance Fund (HEAF)
According to Article VII, Section 17 of the Texas Constitution, the Texas Legislature makes appropriations from the Higher Education Assistance Fund (HEAF) to the Boards of Regents of institutions of higher education, including the Board of Regents of the University of Houston System. The Board is responsible for authorizing HEAF expenditures by System components based on the Chancellor’s recommendation.

The Chancellor is responsible for recommending HEAF expenditures to the Board based on System-wide priorities. The presidents are responsible for recommending HEAF priorities to the Chancellor, based on plans that identify the need to acquire land; to construct and equip buildings; to rehabilitate or make major repairs to buildings and facilities; to acquire capital equipment; and to acquire library books or other library materials. HEAF allocations are recommended annually during the budget process. (05/17/07)

Related Statutes, Policies, or Requirements

Texas Constitution Art. VII, § 17 – Colleges and Universities: Appropriations and Funding
Texas Education Code, Chapter 62 – Constitutional and Statutory Funds to Support Institutions of Higher Education

System Administrative Memoranda (SAMs)
01.B.07 – Facilities Acquisition, Construction, or Renovation
03.A.11 – HEAF Bond Proceeds Expenditure Reimbursements
03.B.01 – Purchasing Guidelines

56 FEES

56.01 Concurrent Enrollment Fees

It is the policy of the Board to avoid undue economic hardship caused by the duplication of mandatory fees on students enrolled in two or more component universities. Subject to the limitations and conditions established by state law, such students may apply for and receive waivers or reductions of building use fees and student service fees under the regulations and procedures established by the System. (05/17/07)

Related Statutes, Policies, or Requirements

Texas Education Code § 54.011 - Tuition Limit in Cases of Concurrent Enrollment
4.2 Election

Officers shall be elected at the regular meeting of the board in August. **Fourteen days prior to this meeting, nominations shall be sent to the General Counsel in writing or by email; however, additional nominations may be made at the August meeting.** A majority of votes cast by those present shall be required for election.